

Notes on Quantum Information Theory[∘]

Samuel J. Lomonaco, Jr.^ʏ

November 19, 1996

Contents

1	Introduction	1
2	Some basic facts about QM systems	2
3	The partial trace	2
4	Classical Shannon entropy	3
5	Sundry formulas for the Shannon entropy	4
6	Von Neumann Entropy	4
7	Sundry formulas for the Von Neumann entropy	5
8	H(A) versus S(A)? What is different?	6
9	Case I (Classical) Independent qubits	6
10	Case II (Classical) Correlated qubits	7
11	Case III (Nonclassical–Purely Quantum Mechanical) Entangled (supercorrelated) qubits, i.e., a pure ensemble of EPR pairs	7
12	Von Neumann entropy	8
13	Case I. Independent qubits	9
14	Case II. Classically correlated qubits	9
15	Case III. Entangled (supercorrelated) qubits	10
16	Summary of cases I, II, & III	11

1. Introduction

We consider different kinds of ensembles of qubit pairs.

Let $|ji\rangle_A$ and $|jj\rangle_B$ denote respectively the states of qubits A and B, lying respectively in the two dimensional Hilbert spaces H_1 and H_2 , where $i = 0; 1$ and $j = 0; 1$. The n the ket

$$|ji\rangle_A - |jj\rangle_B$$

representing the state of the qubit pair lies in the Hilbert space $H_1 \otimes H_2$. We abbreviate this as

$$|ji\rangle_A - |jj\rangle_B = |jii\rangle = |jji\rangle$$

[∘] Copyright 1996

^ʏPartially supported by the L-O-O-P Fund.

We also write the adjoint of $|j\rangle\langle i|$ as

$$|j\rangle\langle i|^\dagger = |i\rangle\langle j|$$

2. Some basic facts about QM systems

Principle 1¹. Given QM systems S_1 and S_2 represented by kets $|j\rangle \in H_1$ and $|i\rangle \in H_2$, the global QM system $S_1 S_2$ obtained by considering them together is represented by the ket

$$|j\rangle \otimes |i\rangle \in H_1 \otimes H_2$$

Principle 2. Let S_1 and S_2 be two different QM systems with state spaces H_1 and H_2 , respectively. Then the state space of the global system $S_1 S_2$ is $H_1 \otimes H_2$. The density operator ρ_1 of the system S_1 alone (ignoring the system S_2) is given by the partial trace

$$\rho_1 = \text{Tr}_2(\rho)$$

In like manner, the density operator ρ_2 of the system S_2 is

$$\rho_2 = \text{Tr}_1(\rho)$$

3. The partial trace

Let

$$d_1 = \dim(H_1)$$

$$d_2 = \dim(H_2)$$

The partial trace is defined as follows:

$$\begin{array}{ccc} \text{Hom}(H_1 \otimes H_2; H_1 \otimes H_2) & \begin{array}{c} \text{Basis } |e_i \otimes f_j\rangle \\ |i\rangle \otimes |j\rangle \\ \otimes \end{array} & M_{d_1 d_2}(\mathbb{C}) \\ \# \text{Tr}_2 & & \# \text{Tr}_2 \\ \\ \text{Hom}(H_1; H_1) & \begin{array}{c} \text{Basis } |e_i\rangle \\ |i\rangle \end{array} & M_{d_1}(\mathbb{C}) \end{array}$$

¹Please note that the converse of this principle is more subtle, for example for entangled systems.

Thus for a particular F , we have

$$\begin{aligned} F &= \sum_{ij,pq} F_{ij;pq} |i\rangle\langle j| \otimes |p\rangle\langle q| \\ \text{Tr}_2(F) &= \sum_j F_{ij;pj} |i\rangle\langle j| \end{aligned}$$

The partial trace $\text{Tr}_2(F)$ of an operator $F \in \text{Hom}(H_1 \otimes H_2; H_1 \otimes H_2)$ is defined as

$$\text{Tr}_2(F) = \sum_i \langle i| \text{Tr}_2(F) |i\rangle;$$

where $|i\rangle$ and $\langle i|$ depend on the bases $\{|e_i\rangle\}$ and $\{|f_i\rangle\}$ chosen for H_1 and H_2 , respectively. It can be shown that $\text{Tr}_2(F)$ is independent of the bases chosen for H_1 and H_2 .

Please also note that

$$\text{Tr}(F) = \text{Tr}_1(\text{Tr}_2(F))$$

4. Classical Shannon entropy

Consider a source A producing symbols from a set $A = \{a_1, a_2, \dots, a_n\}$, with each a_j occurring with probability p_j . (Hence, $\sum_j p_j = 1$.) Then the Shannon entropy of A , written $H(A)$, is defined as

$$H(A) = - \sum_i p_i \lg p_i$$

$H(A)$:: The uncertainty of A

$$H(A) = - \sum_i p_i \lg p_i$$

$H(AB)$:: The uncertainty of AB

$$H(AB) = - \sum_{ij} p_{ij} \lg p_{ij}$$

$H(A|B) ::=$ The uncertainty of A given B (Conditional entropy)

$$H(A|B) = - \sum_{i,j} p_{ij} \lg p_{ij};$$

where

$$p_{ij} = \frac{p_{ij}}{p_j} = \text{Probability of } i \text{ given } j$$

$H(A : B) ::=$ The uncertainty common to (shared by) both A and B. (Mutual entropy)

$$H(A : B) = - \sum_{i,j} p_{i,j} \lg p_{i,j};$$

where

$$p_{i,j} = \frac{p_i p_j}{p_{ij}}$$

5. Sundry formulas for the Shannon entropy

$$\begin{aligned} & \text{⊗} \\ & < H(AB) = H(A) + H(B|A) = H(B) + H(A|B) \end{aligned}$$

$$\text{⋮} \quad H(A : B) = H(A) + H(B) - H(AB)$$

$$\begin{aligned} & \text{⊗} \\ & \text{⌵} \\ & H(AB) = H(A|B) + H(A : B) + H(B|A) \end{aligned}$$

$$\text{⌵} \quad H(A) = H(A|B) + H(A : B)$$

$$\text{⋮} \quad H(B) = H(B|A) + H(A : B)$$

6. Von Neumann Entropy

Let A be a quantum mechanical system with density operator ρ . Then the Von Neumann entropy, written $S(\rho)$, is defined as

$$S(A) = - \text{Tr} (\rho \lg \rho)$$

Remark 1. Please note that the Von Neumann entropy is an invariant of a closed quantum mechanical system, i.e., invariant under unitary transformations. On the other hand, the Shannon entropy is not. Hence, the Von Neumann entropy is the true entropy of the system.

Let ρ_A , ρ_B , and ρ_{AB} , be the density operators of the QM systems A, B, and AB. Then

$$\begin{aligned} \rho_A &= \text{Tr}_B(\rho_{AB}) \\ &\text{and} \\ \rho_B &= \text{Tr}_A(\rho_{AB}) \end{aligned}$$

$S(A)$::: The uncertainty of A

$$S(A) = - \text{Tr}(\rho_A \lg \rho_A)$$

$S(AB)$::: The uncertainty of AB

$$S(AB) = - \text{Tr}(\rho_{AB} \lg \rho_{AB})$$

$S(A|B)$::: The uncertainty of A given B (Conditional entropy)

$$S(A|B) = - \text{Tr}(\rho_{AB} \lg \rho_{A|B});$$

where

$$\rho_{A|B} = \lim_{n \rightarrow \infty} \frac{1}{n} \rho_{AB}^{-1} (1_A - \rho_B)^n \rho_{AB}^{-1} \frac{1}{n} \rho_{AB} (1_A - \rho_B)^n$$

$S(A : B)$::: The uncertainty common to (shared by) both A and B. (Mutual entropy)

$$S(A : B) = - \text{Tr}(\rho_{AB} \lg \rho_{A:B});$$

where

$$\rho_{A:B} = \lim_{n \rightarrow \infty} (\rho_A - \rho_B)^{\frac{1}{n}} \rho_{AB}^{-1} \frac{1}{n} \rho_{AB} (\rho_A - \rho_B)^{\frac{1}{n}}$$

7. Sundry formulas for the Von Neumann entropy

$$S(AB) = S(A) + S(B|A) = S(B) + S(A|B)$$

$$S(A : B) = S(A) + S(B) - S(AB)$$

$$S(AB) = S(A|B) + S(A : B) + S(B|A)$$

$$S(A) = S(A|B) + S(A : B)$$

$$S(B) = S(B|A) + S(A : B)$$

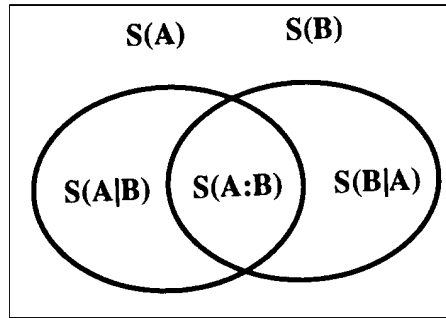


Figure1. General entropy diagram for a composite system AB.

8. $H(A)$ versus $S(A)$? What is different?

$$H(A : B) = \min[H(A); H(B)]$$

But,

$$S(A : B) = 2 \min[S(A); S(B)]$$

$S(A : B)$ measures QM entanglement (supercorrelation) as well as classical correlation. $H(A : B)$ only measures classical correlation. As a result, $S(A : B)$ can become negative for entangled QM systems!

9. Case I (Classical) Independent qubits

We consider the following mixed ensemble

States	$ j00\rangle$	$ j01\rangle$	$ j10\rangle$	$ j11\rangle$	All in $H_1 - H_2$
Freq	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	

The corresponding density operator ρ is

$$\rho = \frac{1}{4} (|j00\rangle\langle j00| + |j01\rangle\langle j01| + |j10\rangle\langle j10| + |j11\rangle\langle j11|)$$

The corresponding density matrix with respect to the basis

$$|j00\rangle ; |j01\rangle ; |j10\rangle ; |j11\rangle$$

is

$$\begin{array}{c}
 \begin{array}{cc}
 & \begin{array}{cccc}
 j00i & j01i & j10i & j11i
 \end{array} \\
 \begin{array}{c}
 h00j \\
 h10j \\
 h01j \\
 h11j
 \end{array}
 \begin{array}{c}
 \mathbf{O} \\
 \mathbf{C} \\
 \mathbf{C} \\
 \mathbf{A}
 \end{array}
 \begin{array}{cccc}
 \frac{1}{4} & 0 & 0 & 0 \\
 0 & \frac{1}{4} & 0 & 0 \\
 0 & 0 & \frac{1}{4} & 0 \\
 0 & 0 & 0 & \frac{1}{4}
 \end{array}
 \begin{array}{c}
 \mathbf{1} \\
 \mathbf{C} \\
 \mathbf{C} \\
 \mathbf{A}
 \end{array}
 \end{array}
 = \mathcal{C} \begin{array}{c}
 \frac{1}{4}; \\
 \frac{1}{4}; \\
 \frac{1}{4}; \\
 \frac{1}{4}
 \end{array} \mathcal{C}
 \end{array}$$

10. Case II (Classical) Correlated qubits

We consider the following mixed ensemble

States	j00i	j11i	All in H ₁ - H ₂
Freq	$\frac{1}{2}$	$\frac{1}{2}$	

The corresponding density operator is

$$\frac{1}{2} = \frac{1}{2} (j00i h00j + j11i h11j)$$

The corresponding density matrix with respect to the basis

$$j00i ; j01i ; j10i ; j11i$$

is

$$\begin{array}{c}
 \begin{array}{cc}
 & \begin{array}{cccc}
 j00i & j01i & j10i & j11i
 \end{array} \\
 \begin{array}{c}
 h00j \\
 h10j \\
 h01j \\
 h11j
 \end{array}
 \begin{array}{c}
 \mathbf{O} \\
 \mathbf{C} \\
 \mathbf{C} \\
 \mathbf{A}
 \end{array}
 \begin{array}{cccc}
 \frac{1}{2} & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & 0 \\
 0 & 0 & 0 & \frac{1}{2}
 \end{array}
 \begin{array}{c}
 \mathbf{1} \\
 \mathbf{C} \\
 \mathbf{C} \\
 \mathbf{A}
 \end{array}
 \end{array}
 = \mathcal{C} \begin{array}{c}
 \frac{1}{2}; \\
 0; \\
 0; \\
 \frac{1}{2}
 \end{array} \mathcal{C}
 \end{array}$$

11. Case III (Nonclassical - Purely Quantum Mechanical) Entangled (supercorrelated) qubits, i.e., a pure ensemble of EPR pairs

We consider the pure ensemble given by the state ket

$$|j^a i\rangle = \frac{1}{\sqrt{2}} (j00i + j11i) \in H_1 - H_2$$

The corresponding density operator ρ is

$$\begin{aligned} \rho &= \sum_j |j\rangle \langle j| \\ &= \frac{1}{2} (|j0\rangle\langle j0| + |j1\rangle\langle j1|) + \frac{1}{2} (|h0\rangle\langle h0| + |h1\rangle\langle h1|) \\ &= \frac{1}{2} (|j0\rangle\langle h0| + |j0\rangle\langle h1| + |j1\rangle\langle h0| + |j1\rangle\langle h1|) \end{aligned}$$

The corresponding density matrix with respect to the basis

$$|j0\rangle; |j1\rangle; |h0\rangle; |h1\rangle$$

is

		$ j0\rangle$	$ j1\rangle$	$ h0\rangle$	$ h1\rangle$	
$\langle h0 $	0	$\frac{1}{2}$	0	0	$\frac{1}{2}$	1
$\langle h1 $	0	0	0	0	0	0
$\langle j0 $	0	0	0	0	0	0
$\langle j1 $	0	$\frac{1}{2}$	0	0	$\frac{1}{2}$	1

Please note that $|j^a\rangle$ can be extended to an orthonormal basis

$$|j^a\rangle; |a_1^-\rangle; |a_2^-\rangle; |a_3^-\rangle$$

of $H_1 - H_2$. With respect to this basis, the matrix for the density operator ρ becomes

		$ j^a\rangle$	$ a_1^-\rangle$	$ a_2^-\rangle$	$ a_3^-\rangle$	
$\langle h^a $	0	1	0	0	0	1
$\langle a_1^- $	0	0	0	0	0	0
$\langle a_2^- $	0	0	0	0	0	0
$\langle a_3^- $	0	0	0	0	0	0

$= \text{diag}(1, 0, 0, 0)$

12. Von Neumann entropy

We now will consider the Von Neumann entropy

$$S(\rho) = -\text{Tr}(\rho \log \rho)$$

for the qubit ensembles given in cases I, II, and III above. In each of the calculations given below, we repeatedly use the observation that

$$\lg(\Phi(d_1; d_2; d_3; d_4) = \Phi(\lg d_1; \lg d_2; \lg d_3; \lg d_4)$$

13. Case I. Independent qubits

We compute in the basis

$$|j00\rangle; |j01\rangle; |j10\rangle; |j11\rangle$$

Thus,

$$\begin{aligned} S(\frac{1}{2}) &= -\sum_i \text{Tr}(\frac{1}{2} \lg \frac{1}{2}) \\ &= -\sum_i \text{Tr} \begin{pmatrix} \frac{1}{4} & 0 & 0 & 0 \\ 0 & \frac{1}{4} & 0 & 0 \\ 0 & 0 & \frac{1}{4} & 0 \\ 0 & 0 & 0 & \frac{1}{4} \end{pmatrix} \\ &= -\sum_i \text{Tr} \left(\frac{1}{4} \lg \left(\frac{1}{4} \right); \frac{1}{4} \lg \left(\frac{1}{4} \right); \frac{1}{4} \lg \left(\frac{1}{4} \right); \frac{1}{4} \lg \left(\frac{1}{4} \right) \right) \\ &= -4 \left(\frac{1}{4} \lg \left(\frac{1}{4} \right) \right) = 2 \text{ qubits of uncertainty} \end{aligned}$$

14. Case II. Classically correlated qubits

We compute in the basis

$$|j00\rangle; |j01\rangle; |j10\rangle; |j11\rangle$$

Thus,

$$\begin{aligned} S(\frac{1}{2}) &= -\sum_i \text{Tr}(\frac{1}{2} \lg \frac{1}{2}) \\ &= -\sum_i \text{Tr} \left(\frac{1}{2} \lg \left(\frac{1}{2} \right); 0; 0; \frac{1}{2} \lg \left(\frac{1}{2} \right); 0; 0; \frac{1}{2} \right) \\ &= -\sum_i \text{Tr} \left(\frac{1}{2} \lg \frac{1}{2}; 0; 0; \frac{1}{2} \lg \frac{1}{2} \right) \\ &= -2 \left(\frac{1}{2} \lg \frac{1}{2} \right) \\ &= 1 \text{ qubit of uncertainty} \end{aligned}$$

15. Case III. Entangled (supercorrelated) qubits

We compute in the basis

$$|j^a i; \bar{a}_1^? \rangle; |j^a i; \bar{a}_2^? \rangle; |j^a i; \bar{a}_3^? \rangle$$

Thus,

$$\begin{aligned} S(\rho) &= -\text{Tr}(\rho \lg \rho) \\ &= -\text{Tr}[\rho(1; 0; 0; 0) \lg \rho(1; 0; 0; 0)] \\ &= -\text{Tr}[\rho(1 \oplus 1; 0; 0; 0)] \\ &= 0 \text{ qubits of uncertainty} \end{aligned}$$

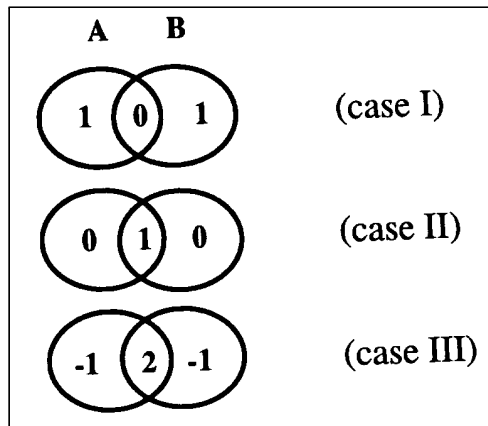
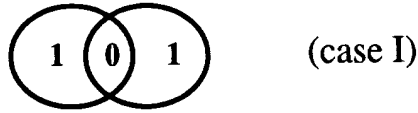


Figure 2. Entropy diagrams for cases I, II, and III.

16. Summary of cases I, II, & III

Case I. (Classical) Independent Qubits. $S(AB) = 2$ & $S(A) = 1 = S(B)$



$$\begin{aligned}
 \frac{1}{2} \rho_A &= \frac{1}{2} (j_0 i h_0 j + j_1 i h_1 j) & \$ & \mathbb{C}^{i \frac{1}{2}; \frac{1}{2}} \\
 \frac{1}{2} \rho_B &= \frac{1}{2} (j_0 i h_0 j + j_1 i h_1 j) & \$ & \mathbb{C}^{i \frac{1}{2}; \frac{1}{2}} \\
 \frac{1}{4} \rho_{AB} &= \frac{1}{4} (j_{00} i h_{00} j + j_{01} i h_{10} j + j_{10} i h_{01} j + j_{11} i h_{11} j) & \$ & \mathbb{C}^{i \frac{1}{4}; \frac{1}{4}; \frac{1}{4}; \frac{1}{4}}
 \end{aligned}$$

A	States	$j_0 i$	$j_1 i$	All in H_1		
	Freq	$\frac{1}{2}$	$\frac{1}{2}$	Mixed Ensemble		
B	States	$j_0 i$	$j_1 i$	All in H_2		
	Freq	$\frac{1}{2}$	$\frac{1}{2}$	Mixed Ensemble		
AB	States	$j_{00} i$	$j_{01} i$	$j_{10} i$	$j_{11} i$	All in $H_1 - H_2$
	Freq	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	Mixed Ensemble

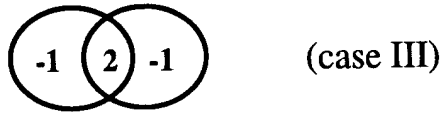
Case II. (Classical) Correlated Qubits. $S(AB) = 1$ & $S(A) = 1 = S(B)$



$$\begin{aligned}
 \frac{1}{2} \rho_A &= \frac{1}{2} (j_0 i h_0 j + j_1 i h_1 j) & \$ & \mathbb{C}^{i \frac{1}{2}; \frac{1}{2}} \\
 \frac{1}{2} \rho_B &= \frac{1}{2} (j_0 i h_0 j + j_1 i h_1 j) & \$ & \mathbb{C}^{i \frac{1}{2}; \frac{1}{2}} \\
 \frac{1}{2} \rho_{AB} &= \frac{1}{2} (j_{00} i h_{00} j + j_{11} i h_{11} j) & \$ & \mathbb{C}^{i \frac{1}{2}; 0; 0; \frac{1}{2}}
 \end{aligned}$$

A	States	j0i	j1i	All in H ₁
	Freq	$\frac{1}{2}$	$\frac{1}{2}$	Mixed Ensemble
B	States	j0i	j1i	All in H ₂
	Freq	$\frac{1}{2}$	$\frac{1}{2}$	Mixed Ensemble
AB	States	j00i	j11i	All in H ₁ - H ₂
	Freq	$\frac{1}{2}$	$\frac{1}{2}$	Mixed Ensemble

Case III. (Nonclassical-Purely QM) Entangled (Supercorrelated) Qubits.
 $S(AB) = 0$ & $S(A) = 1 = S(B)$



$$\begin{aligned}
 \frac{1}{2} \rho_A &= \frac{1}{2} (j0i h0j + j1i h1j) & \rho &= \begin{pmatrix} \frac{1}{2} & 0 & 0 & \frac{1}{2} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \frac{1}{2} & 0 & 0 & \frac{1}{2} \end{pmatrix} \\
 \frac{1}{2} \rho_B &= \frac{1}{2} (j0i h0j + j1i h1j) & \rho &= \begin{pmatrix} \frac{1}{2} & 0 & 0 & \frac{1}{2} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \frac{1}{2} & 0 & 0 & \frac{1}{2} \end{pmatrix} \\
 \frac{1}{2} \rho_{AB} &= \frac{1}{2} (j00i h00j + j00i h11j + j11i h00j + j11i h11j) & \rho &= \begin{pmatrix} \frac{1}{2} & 0 & 0 & \frac{1}{2} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \frac{1}{2} & 0 & 0 & \frac{1}{2} \end{pmatrix}
 \end{aligned}$$

A	States	j0i	j1i	All in H ₁
	Freq	$\frac{1}{2}$	$\frac{1}{2}$	Mixed Ensemble
B	States	j0i	j1i	All in H ₂
	Freq	$\frac{1}{2}$	$\frac{1}{2}$	Mixed Ensemble
AB	States	$\frac{1}{2} (j00i + j11i)$		In H ₁ - H ₂
	Freq	1		Pure Ensemble

References

- [1] Cerf, Nicholas J., and Chris Adami, Quantum mechanics of measurement, quant-ph/9605002, 2 May 1996. (Submitted to Physical Review A).