



# Adversarial Search (aka Games)

## Chapter 5

Some material adopted from notes by Charles R. Dyer, U of Wisconsin-Madison

# Why study games?

- Interesting, hard problems requiring minimal “initial structure”
- Clear criteria for success
- Study problems involving {hostile, adversarial, competing, cooperating} agents and uncertainty of interacting with the natural world
- People have used them to assess their intelligence
- Fun, good, easy to understand, PR potential
- Games often define very large search spaces, e.g. chess  $35^{100}$  nodes in search tree,  $10^{40}$  legal states

# Computer chess history



- **1948:** Norbert Wiener [describes](#) how chess program can work using minimax search with an evaluation function
- **1950:** Claude Shannon publishes [Programming a Computer for Playing Chess](#)
- **1951:** Alan Turing develops *on paper* 1st program capable of playing full chess games ([Turochamp](#))
- **1958:** first program plays full game [on IBM 704](#) (loses)
- **1962:** [Kotok & McCarthy](#) (MIT) first program to play credibly
- **1967:** Greenblatt's [Mac Hack Six](#) (MIT) defeats a person in regular tournament play
- **1997:** IBM's [Deep Blue](#) beats world champ Gary Kasparov

# State of the art

- **1979 Backgammon:** [BKG](#) (CMU) tops world champ
- **1994 Checkers:** [Chinook](#) is the world champion
- **1997 Chess:** IBM [Deep Blue](#) beat Gary Kasparov
- **2007 Checkers:** [solved](#) (it's a draw)
- **2016 Go:** [AlphaGo](#) beat champion Lee Sedol
- **2017 Poker:** CMU's [Libratus](#) won \$1.5M from top poker players in a casino challenge
- **20?? Bridge:** Expert [bridge programs](#) exist, but no world champions yet

# AlphaGo - The Movie

Highly recommended 2017 award-winning documentary, **free on YouTube**



**How can  
we do it?**

# Classical vs. Machine Learning approaches

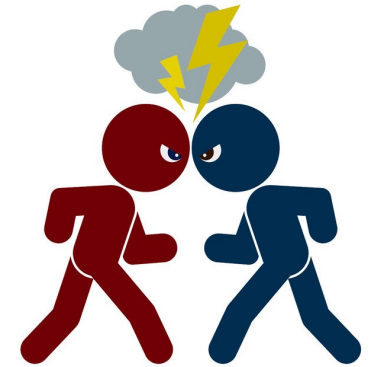
- We'll look first at the classical approach used from the 1940s to 2010
- Then at newer statistical approaches, of which [AlphaGo](#) is an example
- And reinforcement learning, used by [Facebook's ReBel](#) for [Texas Hold'em](#)
- These all share some techniques

# Typical simple case for a game

- **2-person** game
- Players **alternate moves**
- **Zero-sum**: one player's loss is the other's gain
- **Perfect information**: both players have access to complete information about state of game. No information hidden from either player.
- **No chance** (e.g., using dice, shuffled cards) involved
- Examples: Tic-Tac-Toe, Checkers, Chess, Go, Nim, Othello
- But not: Bridge, Solitaire, Backgammon, Poker, Rock-Paper-Scissors, ...



# Can we use ...



- Uninformed search?
- Heuristic search?
- Local search?
- Constraint based search?

None of these model the fact  
that we have an **adversary** ...

# How to play a game, v1

- A way to play such a game is to:
  - Consider all the legal moves you can make
  - Compute new position resulting from each move
  - Evaluate each to determine which is best for you
  - Make that move
  - Wait for your opponent to move and repeat
- Key problems are:
  - Representing the “board” (i.e., game state)
  - Generating all legal next boards
  - Evaluating a position

# Evaluation function

- **Evaluation function** or **static evaluator** used to evaluate the “goodness” of a game position
  - Contrast with heuristic search, where evaluation function estimates **cost** from start node to goal passing through given node
- Zero-sum assumption permits single function to describe goodness of board for both players
  - $f(n) \gg 0$ : position  $n$  good for me; bad for you
  - $f(n) \ll 0$ : position  $n$  bad for me; good for you
  - $f(n)$  near  $0$ : position  $n$  is a neutral position
  - $f(n) = +\text{infinity}$ : win for me
  - $f(n) = -\text{infinity}$ : win for you

**Static:** snapshot in time

# Evaluation function examples

- **For Tic-Tac-Toe**

$$f(n) = [\# \text{ my open 3lengths}] - [\# \text{ your open 3lengths}]$$

Where a **3length** is complete row, column or diagonal that has no opponent marks

- **Alan Turing's function for chess**

- $f(n) = w(n)/b(n)$  where  $w(n)$  = sum of point value of white's pieces and  $b(n)$  = sum of black's
- Traditional piece values: pawn:1; knight:3; bishop:3; rook:5; queen:9

# Evaluation function examples

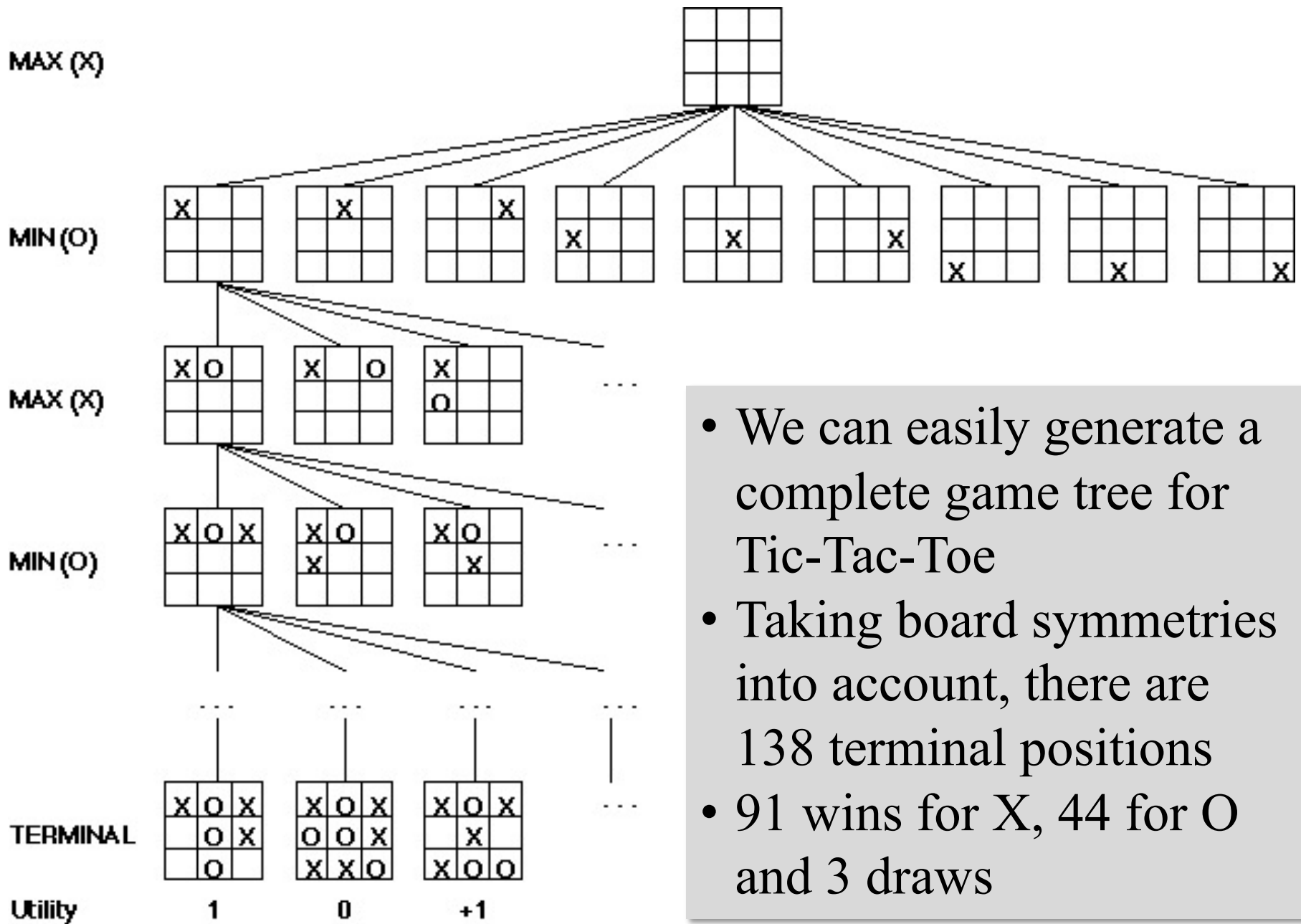
- Most evaluation functions specified as a **weighted sum** of positive features

$$f(n) = w_1 * \text{feat}_1(n) + w_2 * \text{feat}_2(n) + \dots + w_n * \text{feat}_k(n)$$

- Typical chess features: piece count, piece values, piece placement, squares controlled, ...
- IBM's chess program [Deep Blue](#) (circa 1996) had >8K features in its evaluation function!
- We can **learn weights** from choices made by expert players in real games (lots of data!)

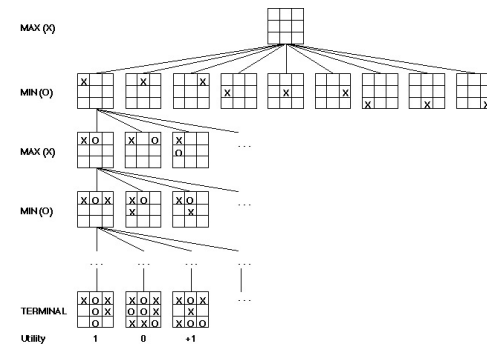
# But, that's not how people play

- People also use *look ahead, i.e.*
  - enumerate actions, consider opponent's possible responses, REPEAT
- Producing a *complete* game tree only possible for simple games
  - What is a complete game tree?
- So, generate a partial game tree for some number of plys
  - Move = each player takes a turn
  - Ply = one player's turn
- What do we do with the game tree?



- We can easily generate a complete game tree for Tic-Tac-Toe
- Taking board symmetries into account, there are 138 terminal positions
- 91 wins for X, 44 for O and 3 draws

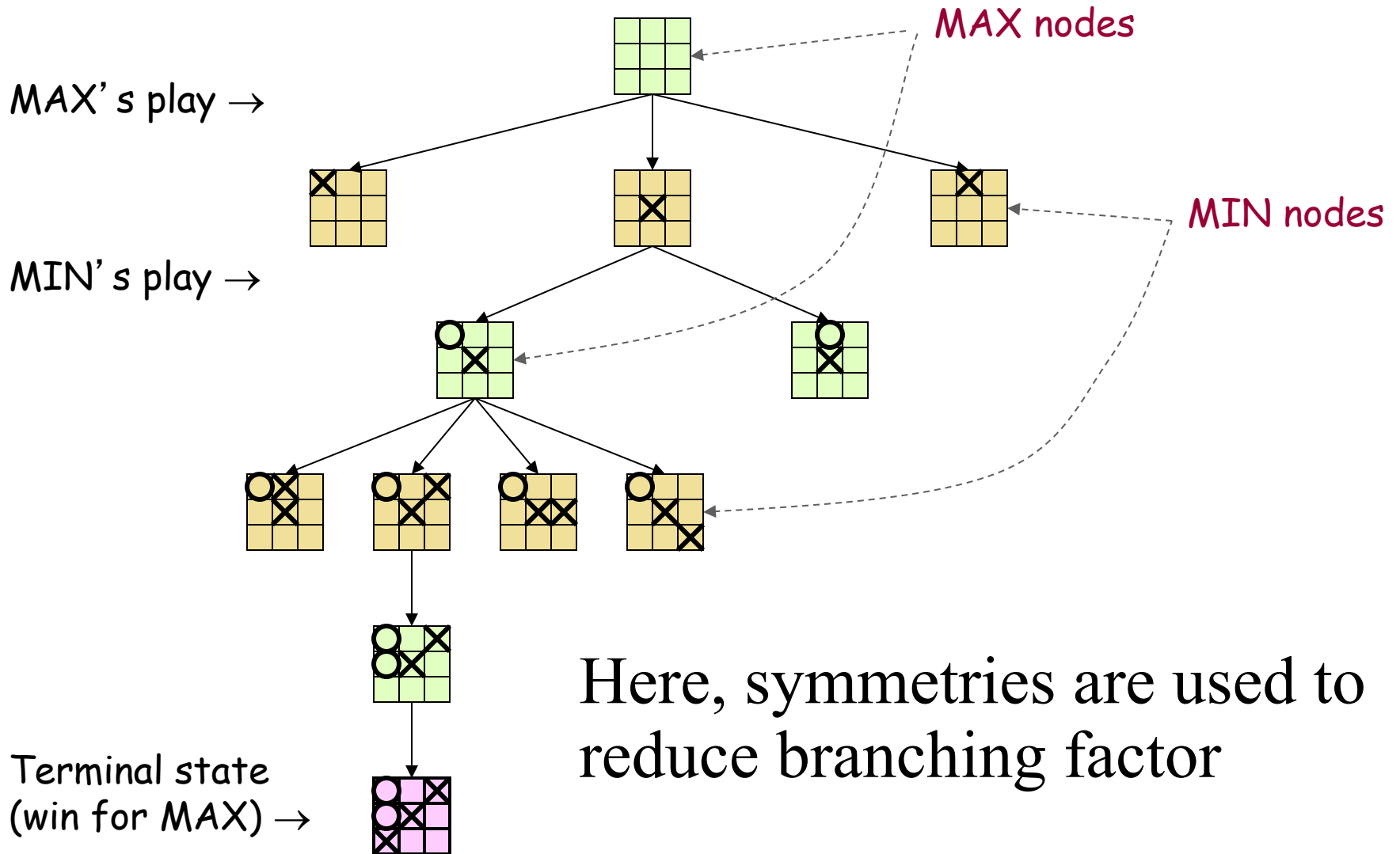
# Game trees



- Problem spaces for typical games are trees
- Root node is current board configuration; player must decide best single move to make next
- **Static evaluator function** rates board position  $f(\text{board})$ : real, often  $>0$  for me;  $<0$  for opponent
- Arcs represent possible legal moves for a player
- If **my turn** to move, then root is labeled a "**MAX**" node; otherwise it's a "**MIN**" node
- Each tree level's nodes are all MAX or all MIN; nodes at level  $i$  are of opposite kind from those at level  $i+1$



# Game Tree for Tic-Tac-Toe



# Minimax procedure

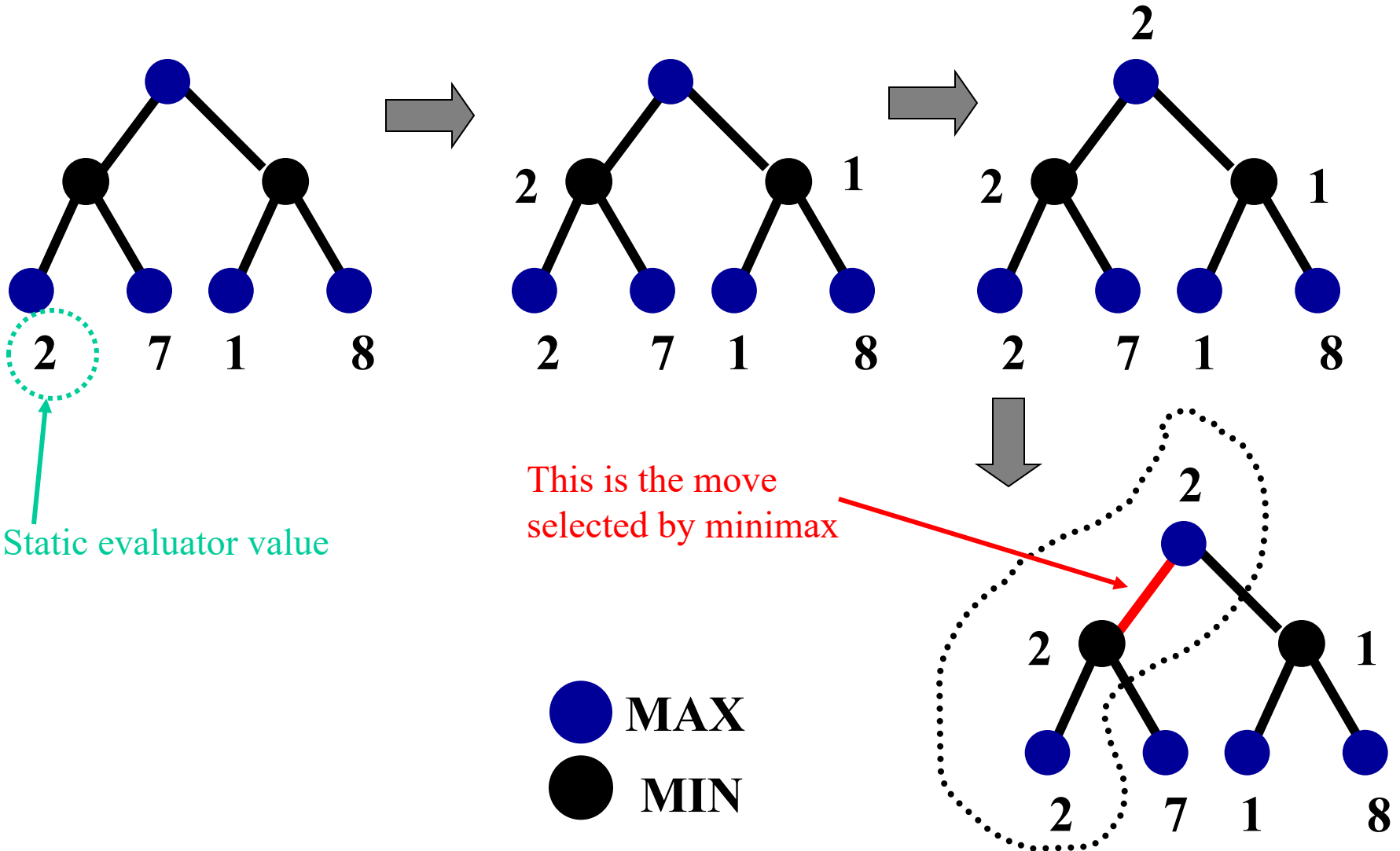
- Create MAX node with current board configuration
- Expand nodes to some **depth** (a.k.a. **plys**) of lookahead in game
- Apply evaluation function at each **leaf** node
- **Back up** values for each non-leaf node until value is computed for the root node
  - At MIN nodes: value is **minimum** of children's values
  - At MAX nodes: value is **maximum** of children's values
- **Choose move** to child node whose backed-up value determined value at root

# Minimax theorem

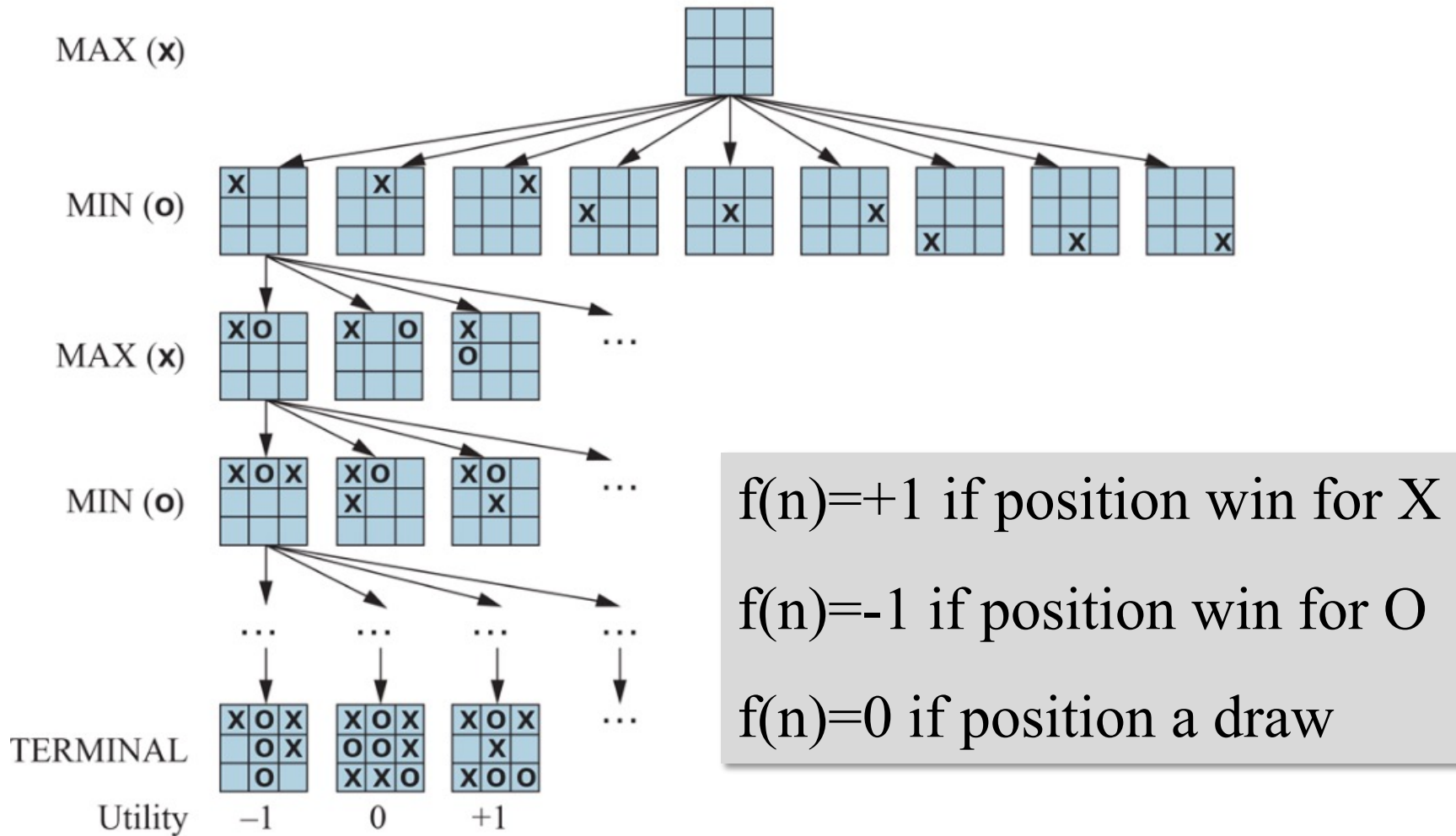
- Intuition: assume your opponent is at least as smart as you and play accordingly
  - If she's not, you can only do better!
- [Von Neumann](#), J: *Zur Theorie der Gesellschaftsspiele* Math. Annalen. **100** (1928) 295-320

For every 2-person, 0-sum game with finite strategies, there is a value  $V$  and a mixed strategy for each player, such that (a) given player 2's strategy, best payoff possible for player 1 is  $V$ , and (b) given player 1's strategy, best payoff possible for player 2 is  $-V$ .
- You can think of this as:
  - Minimizing your maximum possible loss
  - Maximizing your minimum possible gain

# Minimax Algorithm



# Partial Game Tree for Tic-Tac-Toe

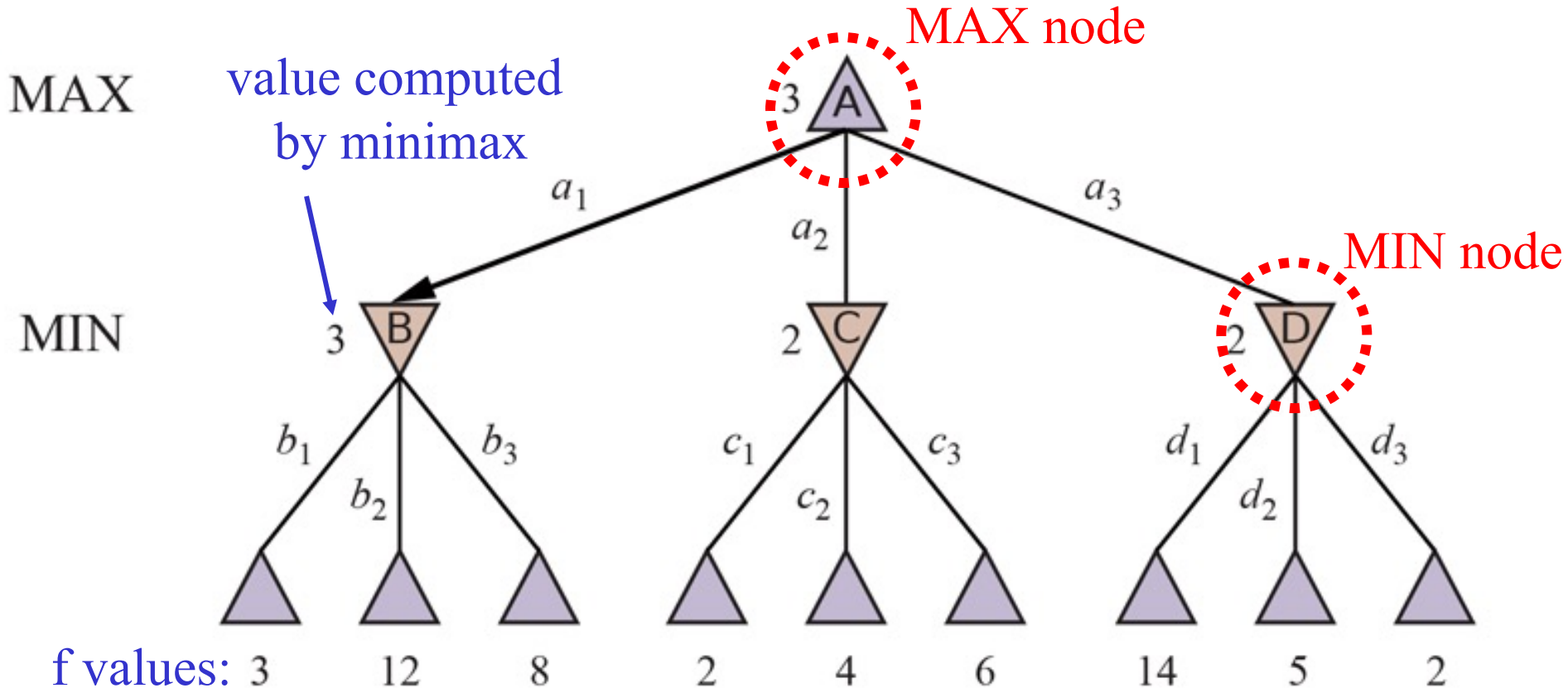


Partial game tree for tic-tac-toe. Top node is the initial state, and max moves first, placing an X in an empty square. Only part of the tree shown, giving alternating moves by min (O) and max (X), until we reach terminal states, which are assigned utilities  $\{-1, 0, +1\}$  for  $\{\text{lose, draw, win}\}$

# Why backed-up values?

- Why not just use a good static evaluator metric on immediate children
- **Intuition:** if metric is good, doing look ahead and backing up values with Minimax should be better
- Non-leaf node  $N$ 's backed-up value is value of best state  $MAX$  can reach at depth  $h$  if  $MIN$  plays *well*
  - “plays well”: same criterion as  $MAX$  applies to itself
- If  $e$  is good, then backed-up value is better estimate of  $STATE(N)$  goodness than  $e(STATE(N))$
- Use lookahead horizon  $h$  because time to choose a move is typically limited

# Minimax Tree Again



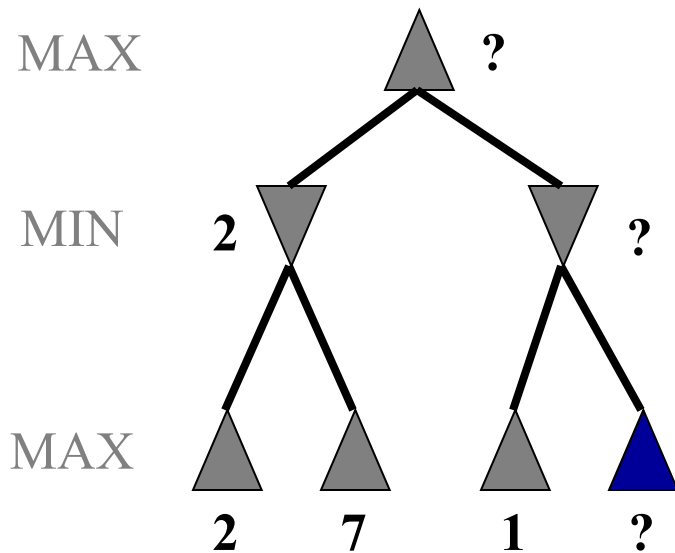
Two-ply game tree.  $\triangle$  nodes are “max nodes,” in which it is max’s turn to move, and  $\nabla$  nodes are “min nodes.” The terminal nodes show utility values for max; the other nodes are labeled with their minimax values. max’s best move at root is  $a_1$  because it leads to state with the highest minimax value. min’s best reply is  $b_1$  since it leads to the state with the lowest minimax value.

**Is that all  
there is to simple  
games?**



# Alpha-beta pruning

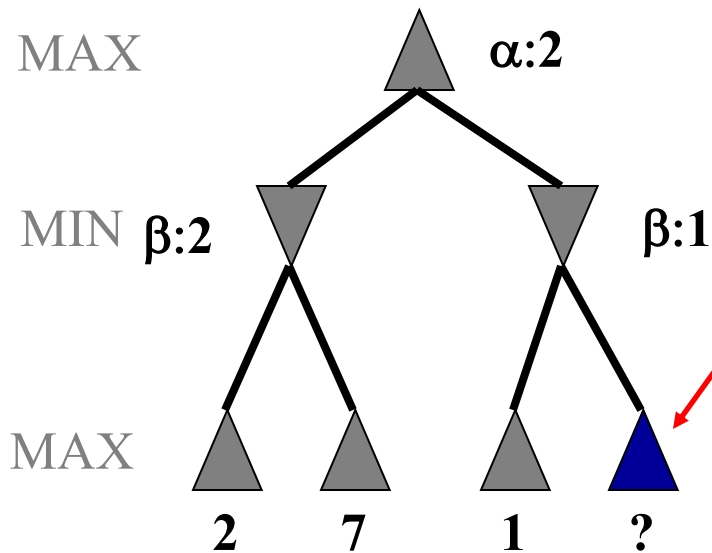
- Improve performance of the minimax algorithm through alpha-beta pruning
- *“If you have an idea that is surely bad, don't take the time to see how truly awful it is”* -Pat Winston (MIT)



- We don't need to compute the value at this node
- No matter what it is, it can't affect value of the root node

# Alpha-beta pruning

- Improve performance of the minimax algorithm through alpha-beta pruning
- *“If you have an idea that is surely bad, don't take the time to see how truly awful it is”* -Pat Winston (MIT)



- We don't need to compute the value at this node
- No matter what it is, it can't affect value of the root node
- Compute upper ( $\alpha$ ) & lower ( $\beta$ ) bounds on final mini-max values as we go to identify such cases

# Alpha-beta pruning

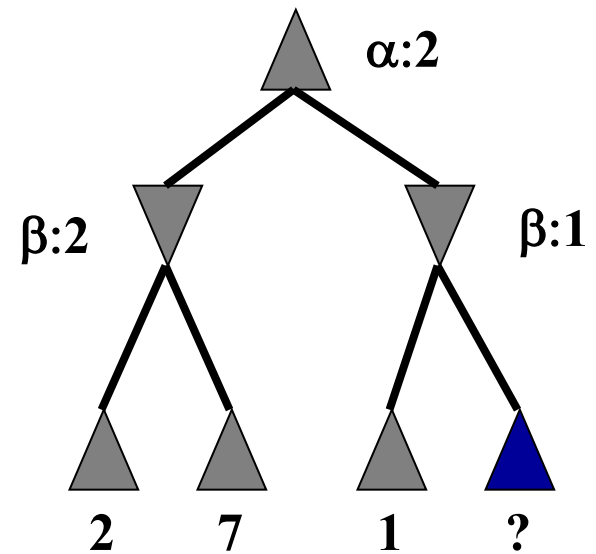
- Traverse tree in depth-first order
- At **MAX** node  $n$ , **alpha(n)** = max value found so far

Alpha values start at  $-\infty$  and only increase

- At **MIN** node  $n$ , **beta(n)** = min value found so far

Beta values start at  $+\infty$  and only decrease

- **Beta cutoff:** stop search below MAX node  $N$  (i.e., don't examine more descendants) if  $\text{alpha}(N) \geq \text{beta}(i)$  for some MIN node ancestor  $i$  of  $N$
- **Alpha cutoff:** stop search below MIN node  $N$  if  $\text{beta}(N) \leq \text{alpha}(i)$  for a MAX node ancestor  $i$  of  $N$



# Alpha-beta pruning

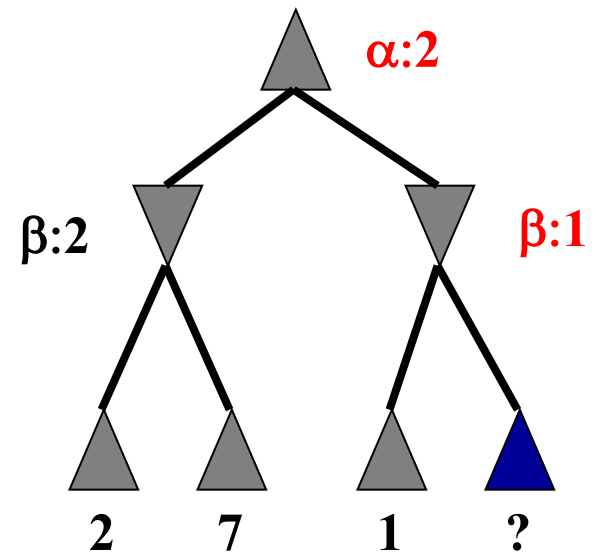
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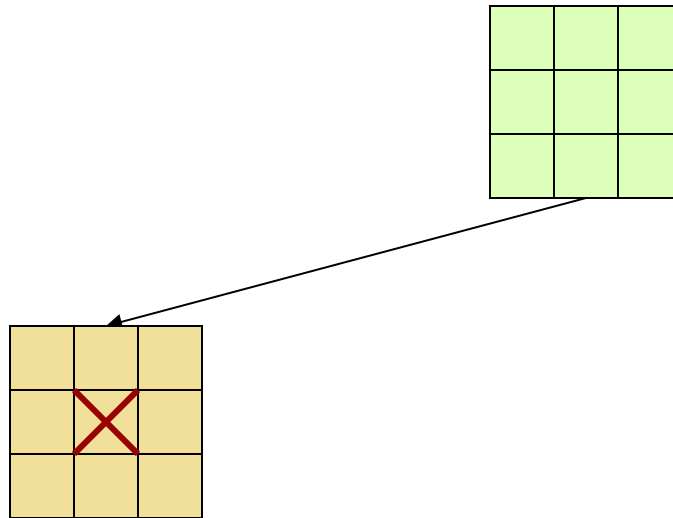
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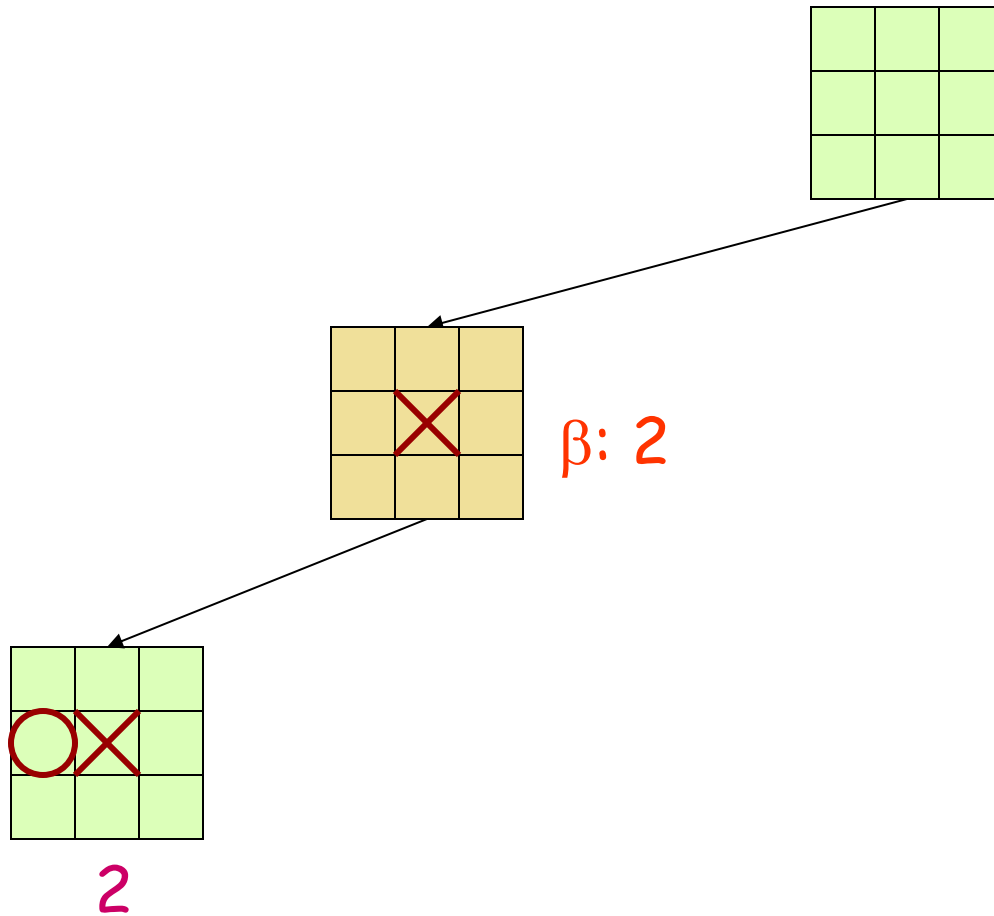
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# Alpha-Beta Tic-Tac-Toe Example



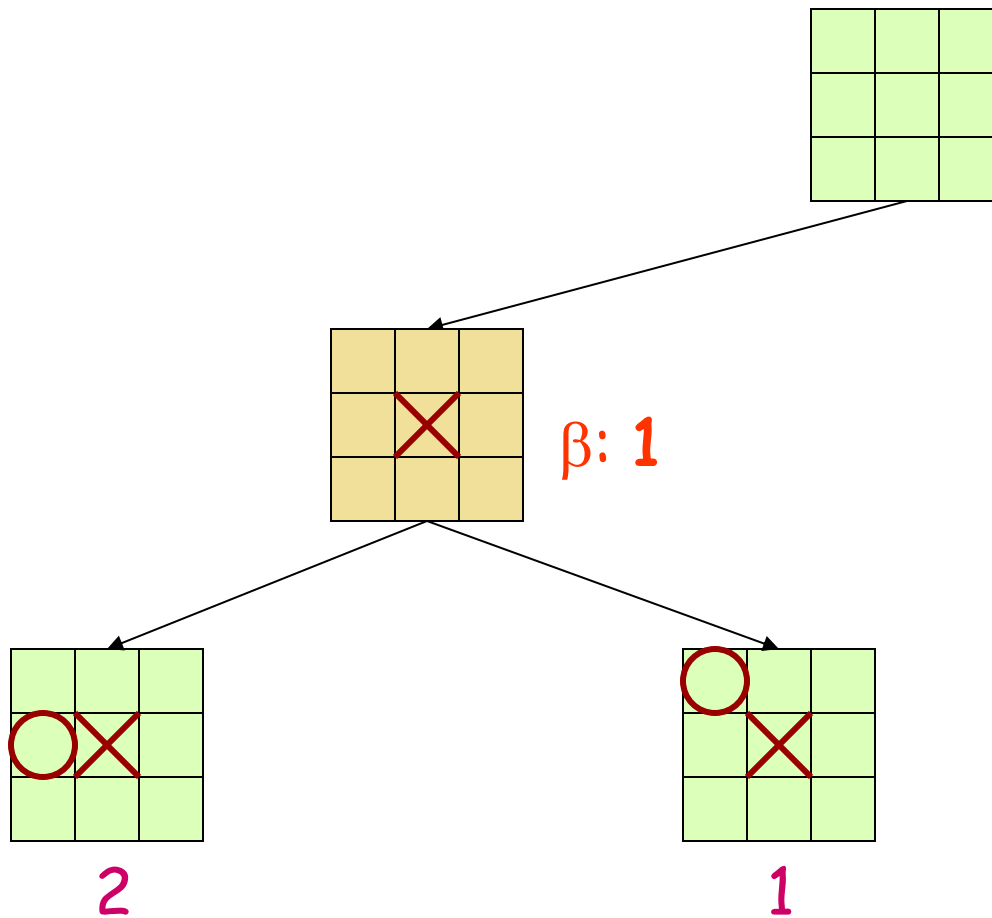
# Alpha-Beta Tic-Tac-Toe Example



F = X's open lines –  
O's open lines

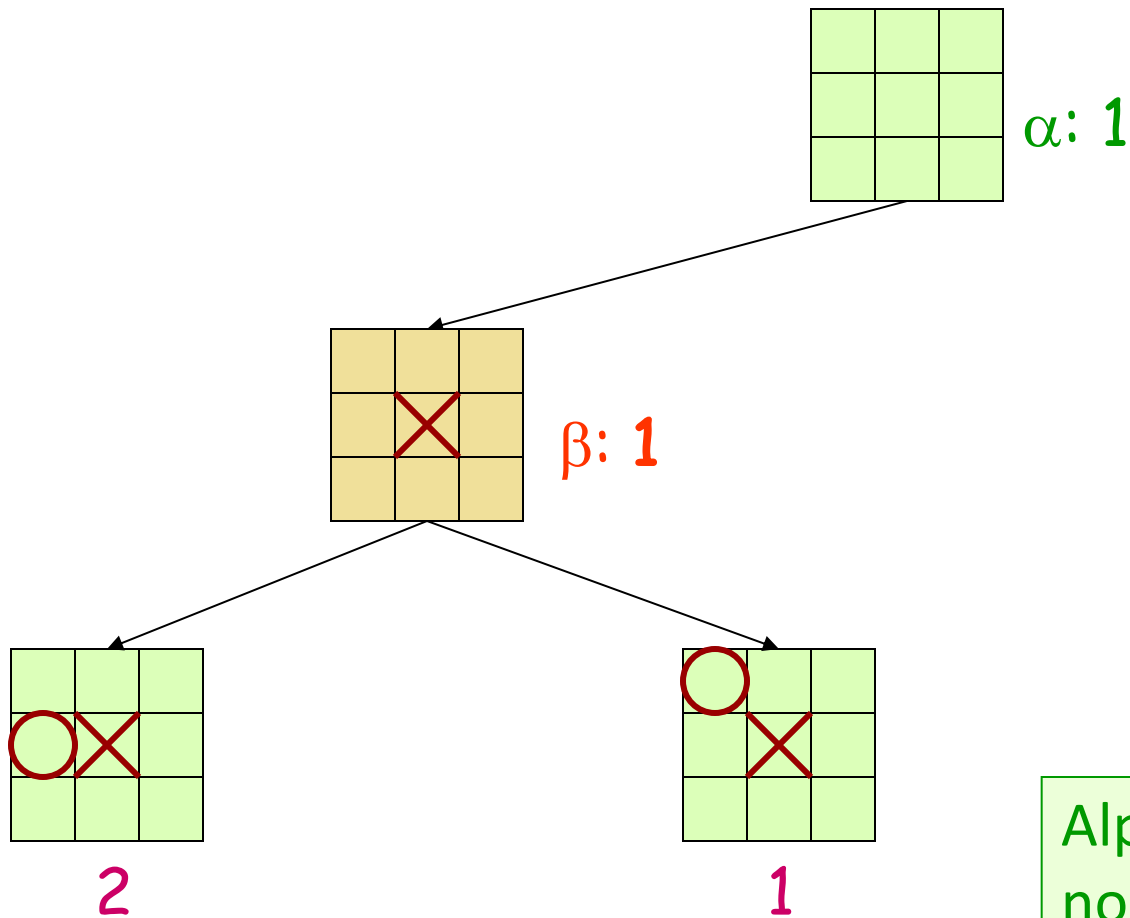
Beta value of a MIN  
node is **upper** bound on  
final backed-up value;  
it can never increase

# Alpha-Beta Tic-Tac-Toe Example



Beta value of a MIN node is **upper** bound on final backed-up value; it can never increase

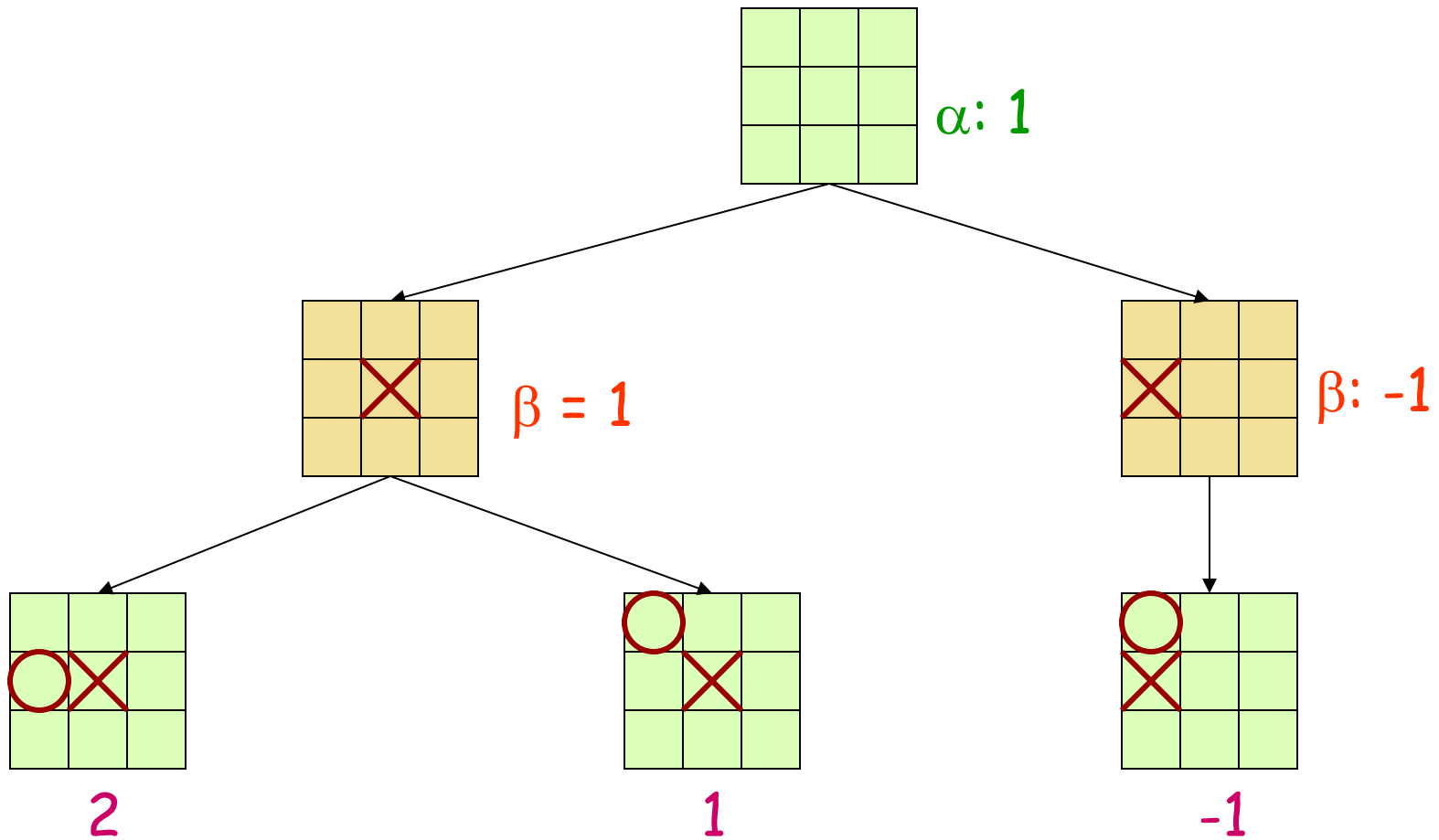
# Alpha-Beta Tic-Tac-Toe Example



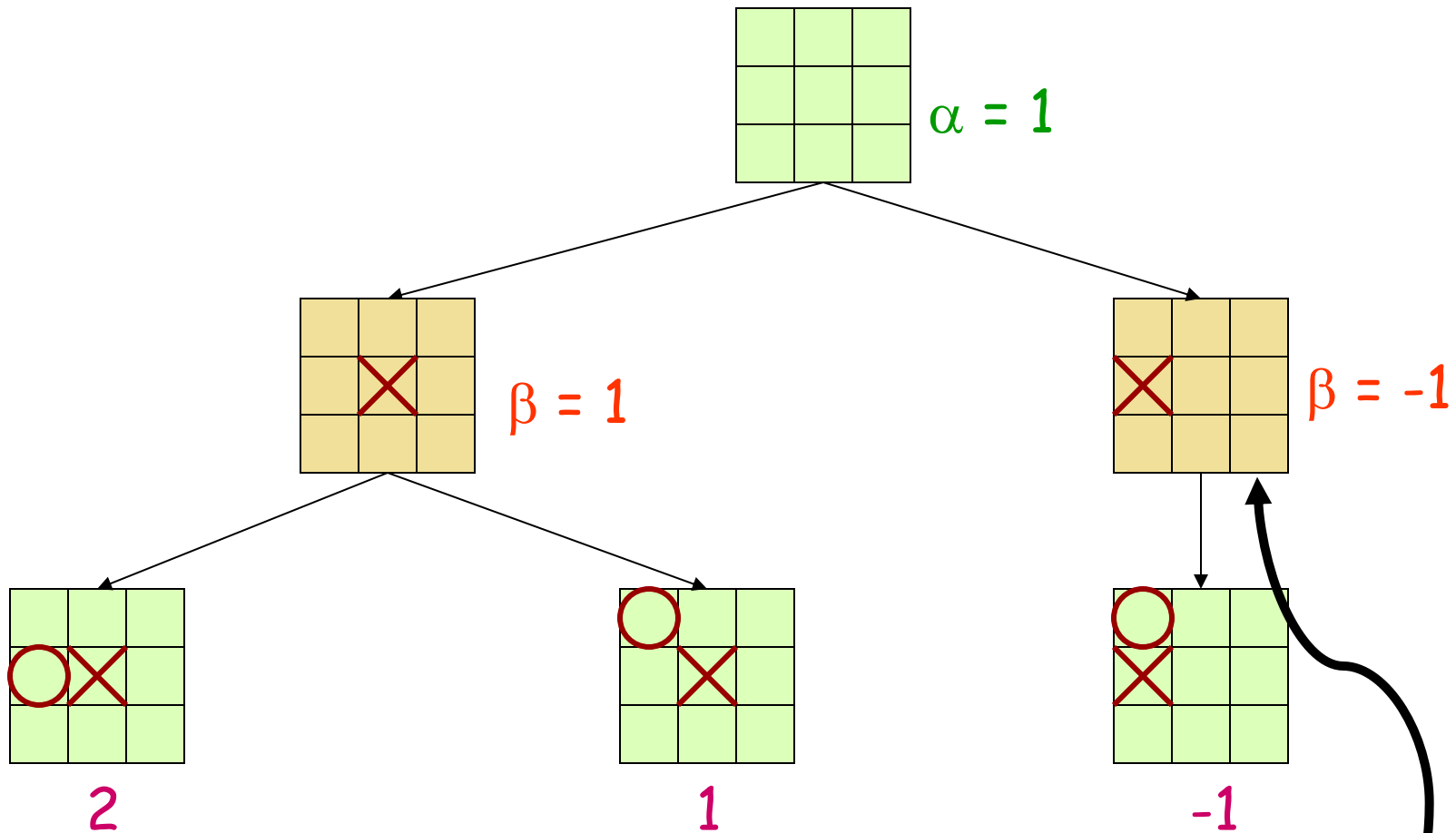
Alpha value of MAX node is **lower** bound on final backed-up value; it can never decrease



# Alpha-Beta Tic-Tac-Toe Example

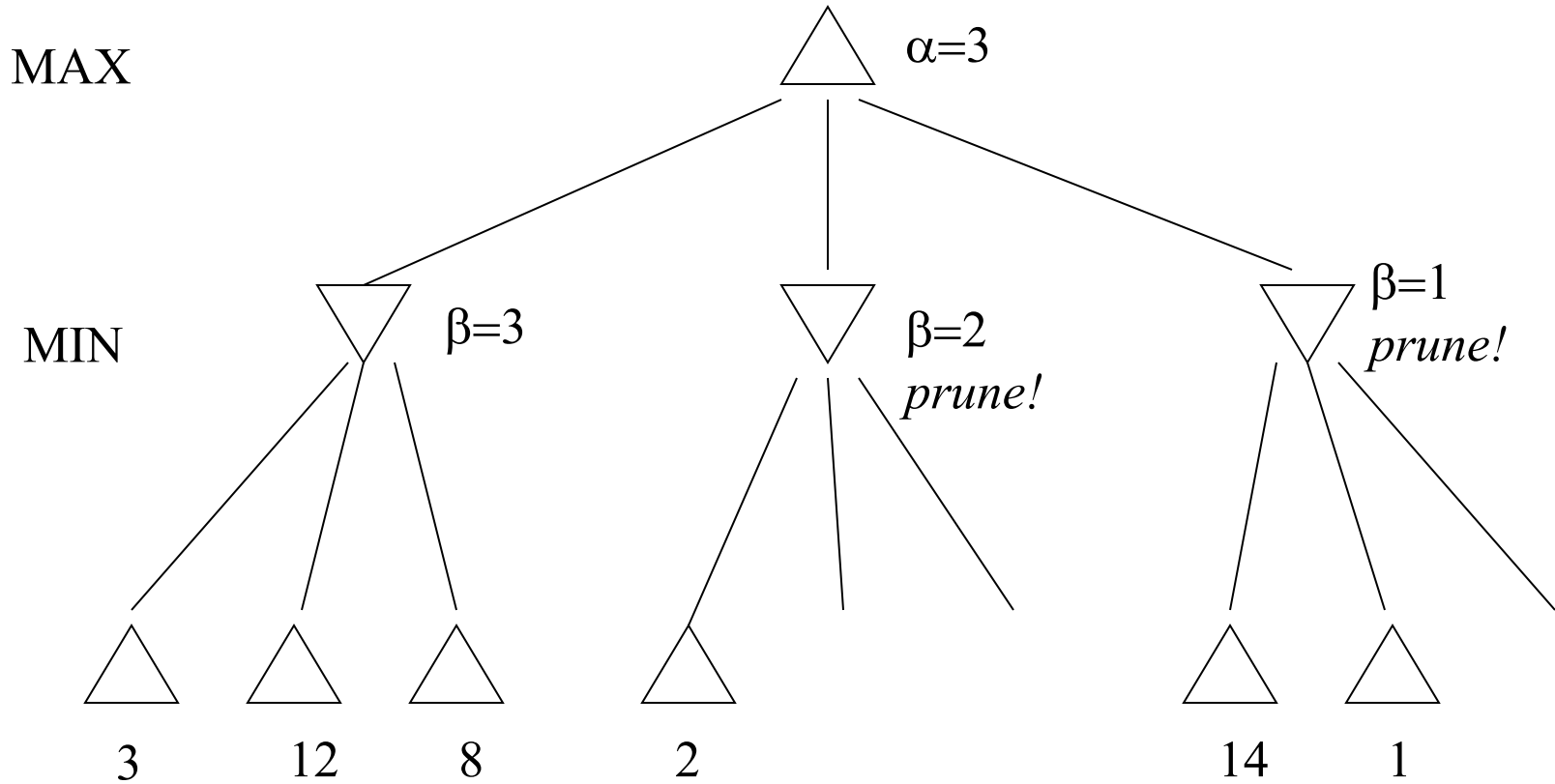


# Alpha-Beta Tic-Tac-Toe Example

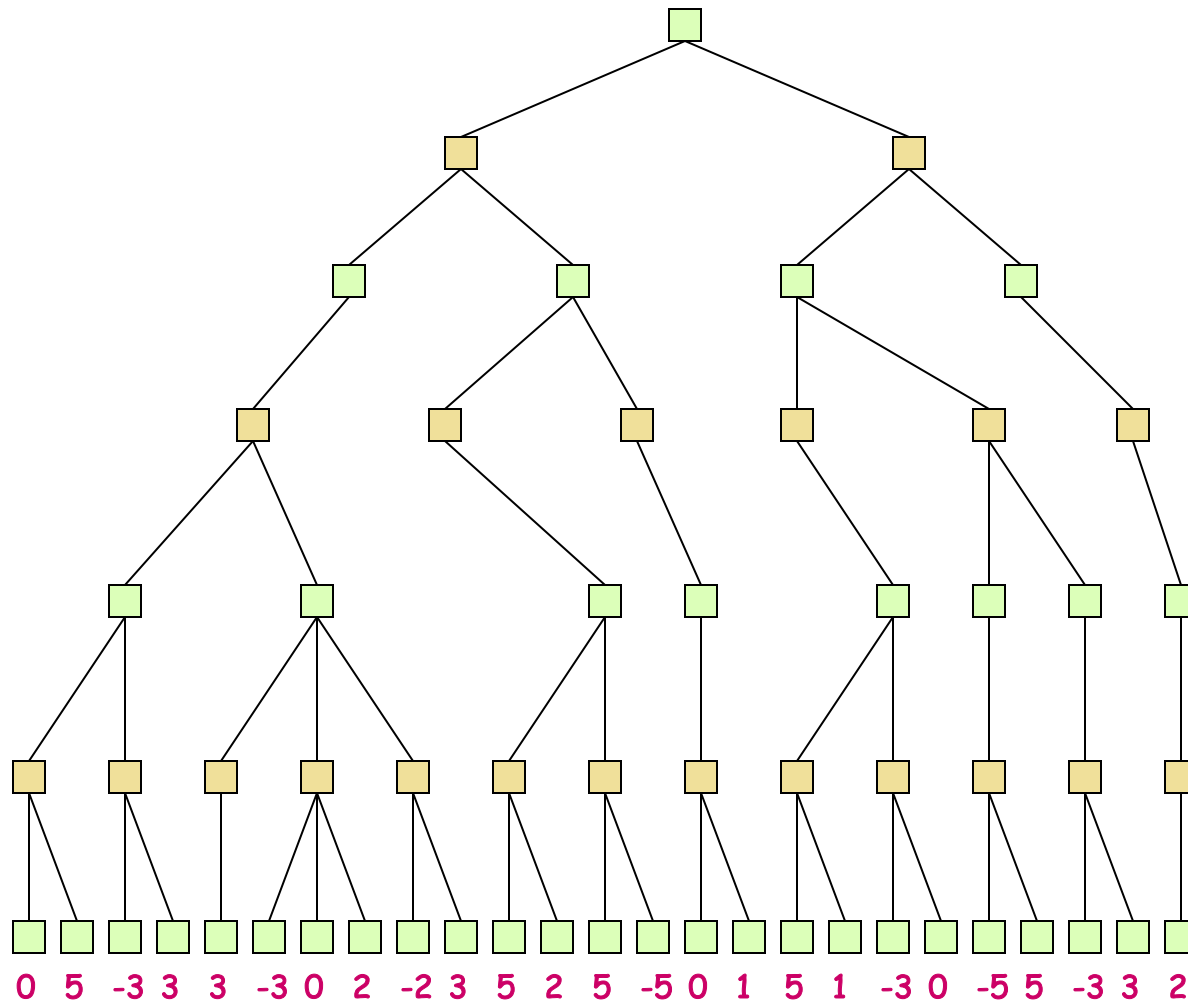


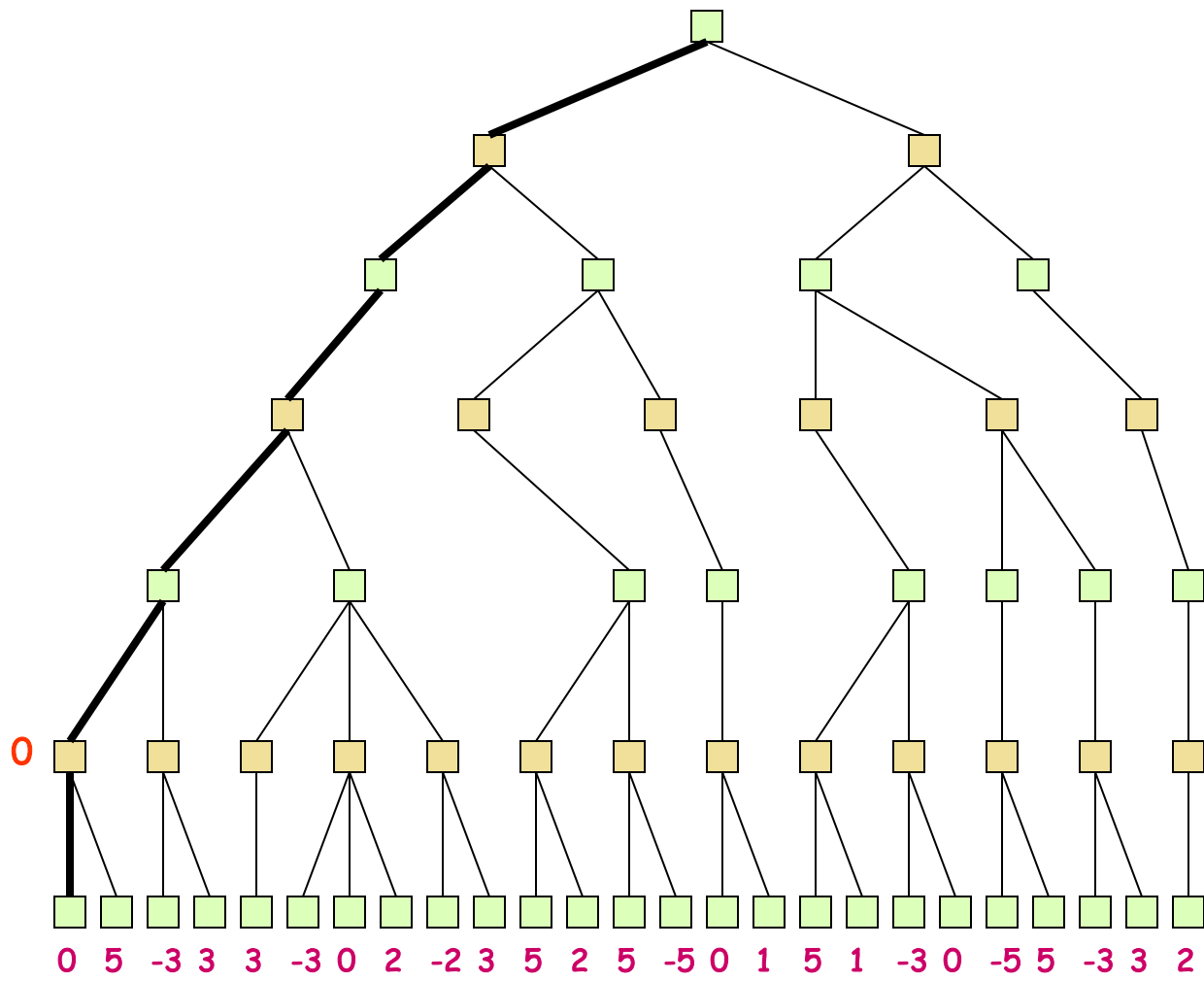
Discontinue search below a MIN node whose beta value  $\leq$  alpha value of one of its MAX ancestors

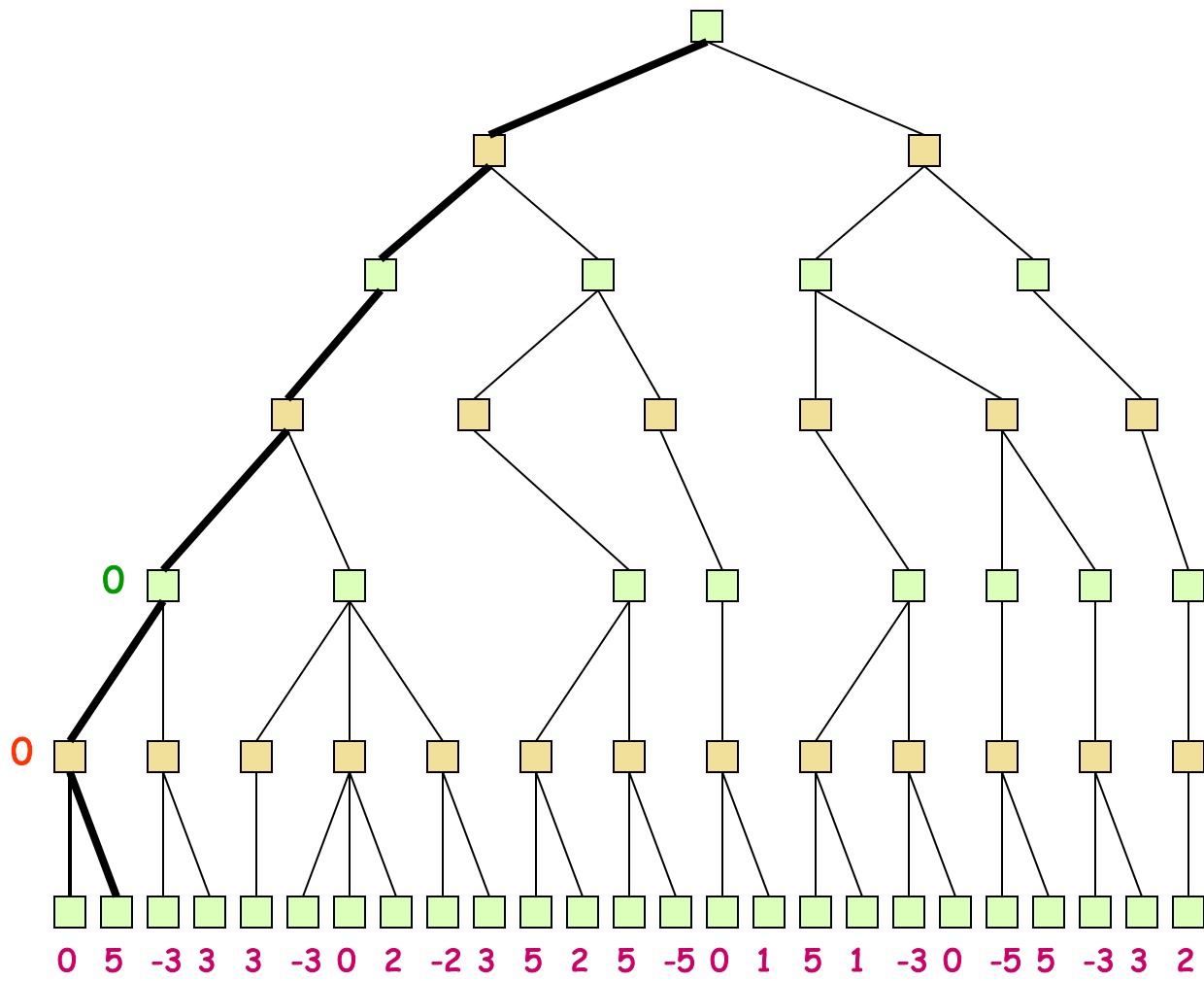
# Another alpha-beta example

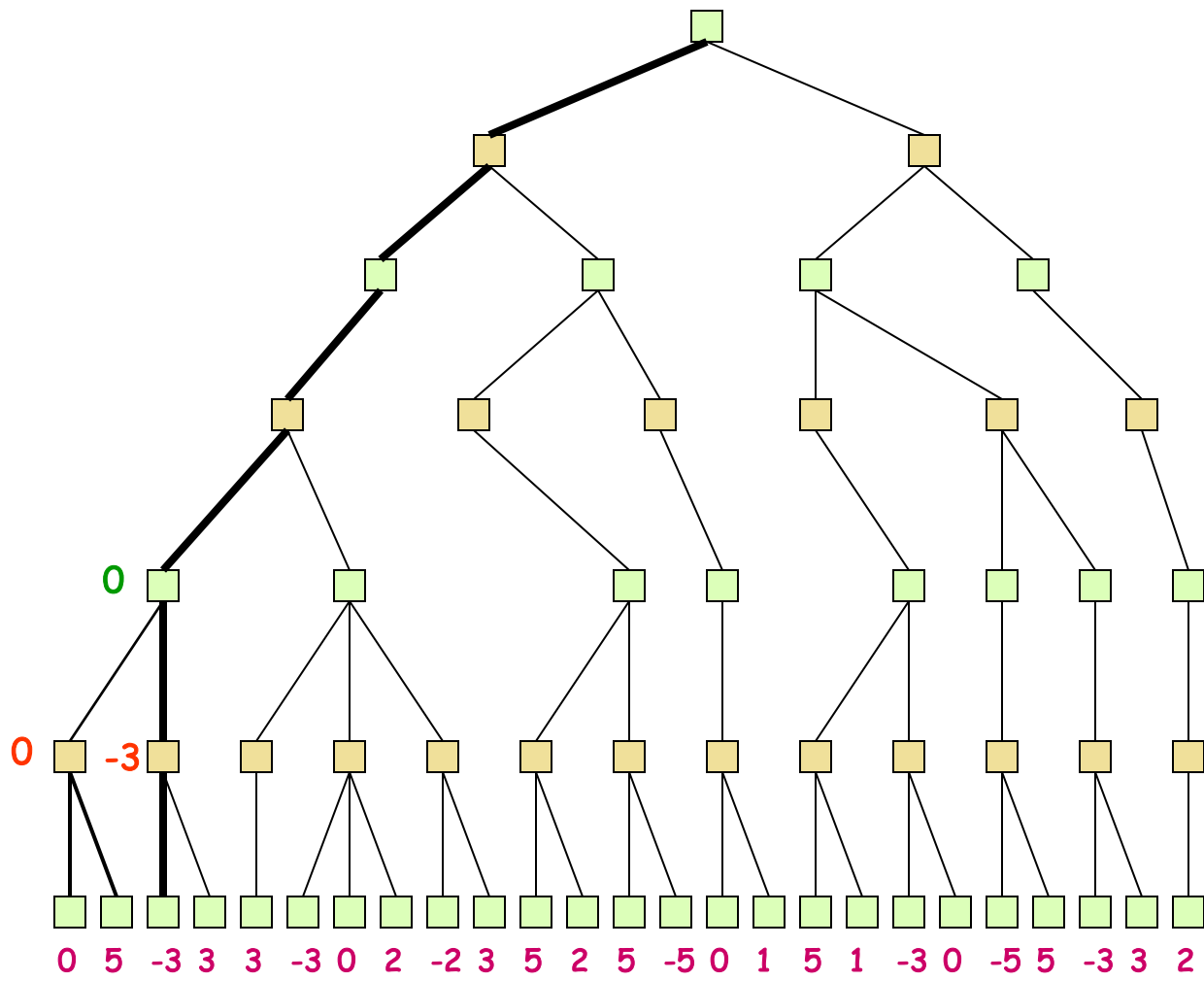


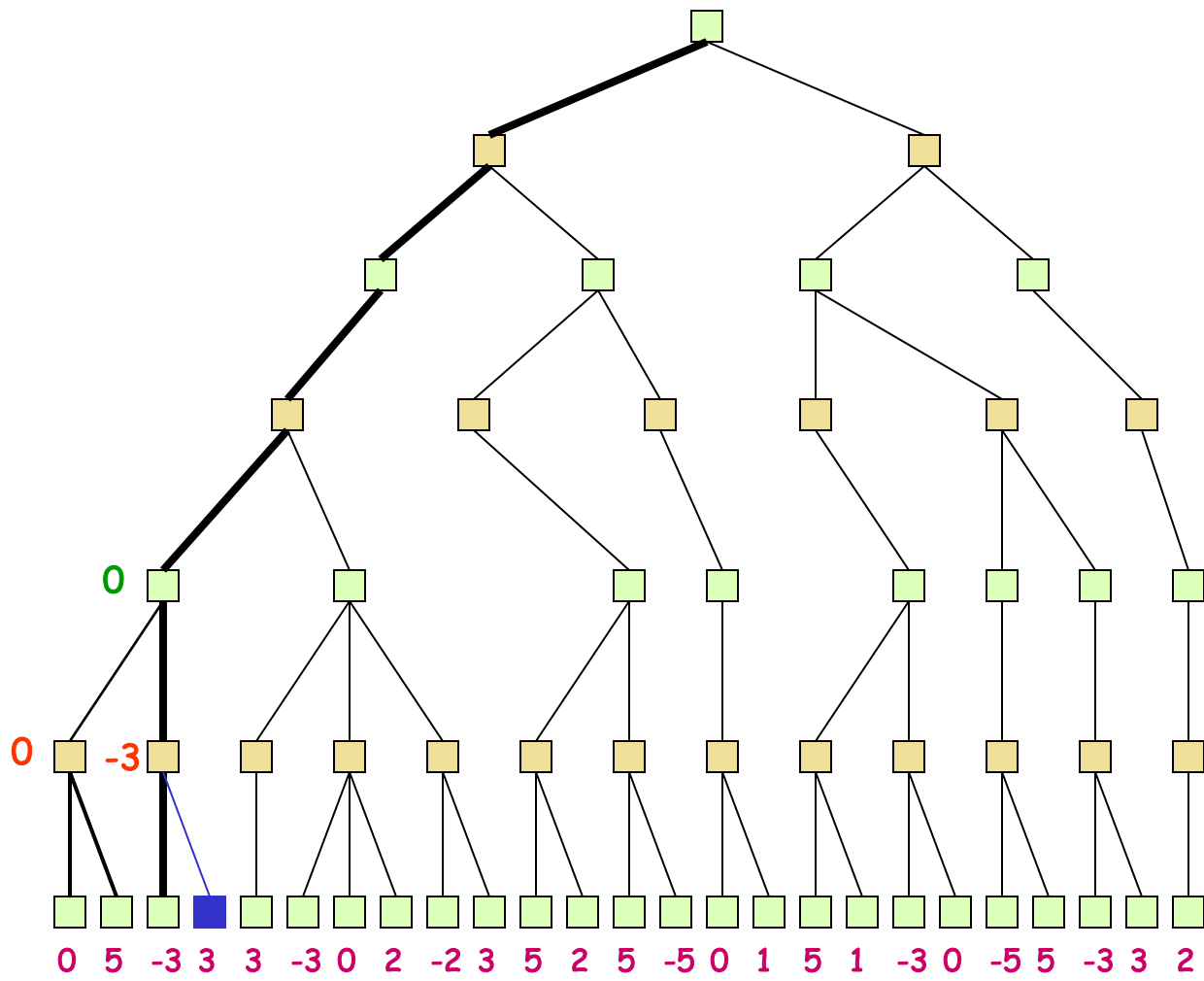
# Alpha-Beta Tic-Tac-Toe Example 2



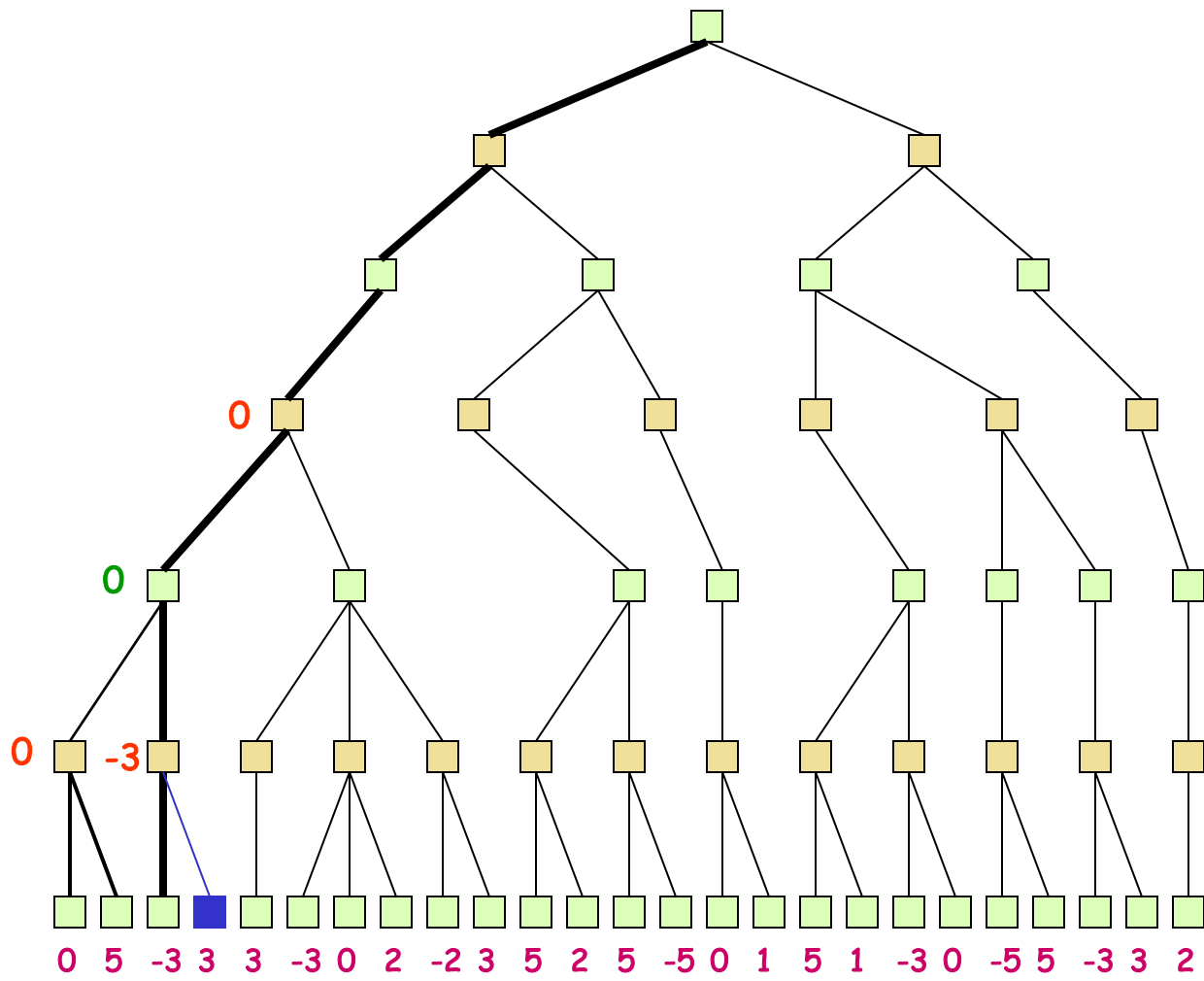


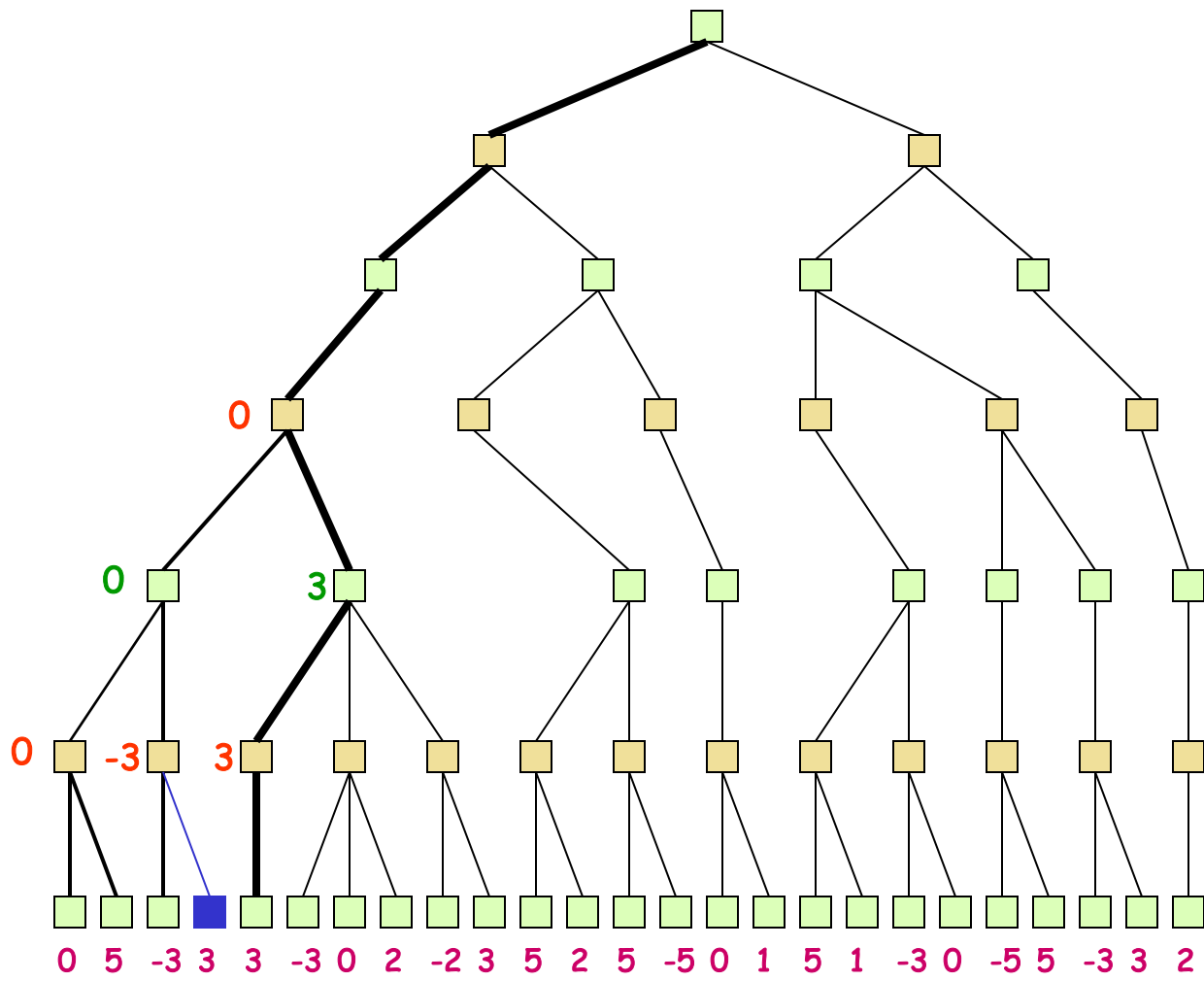


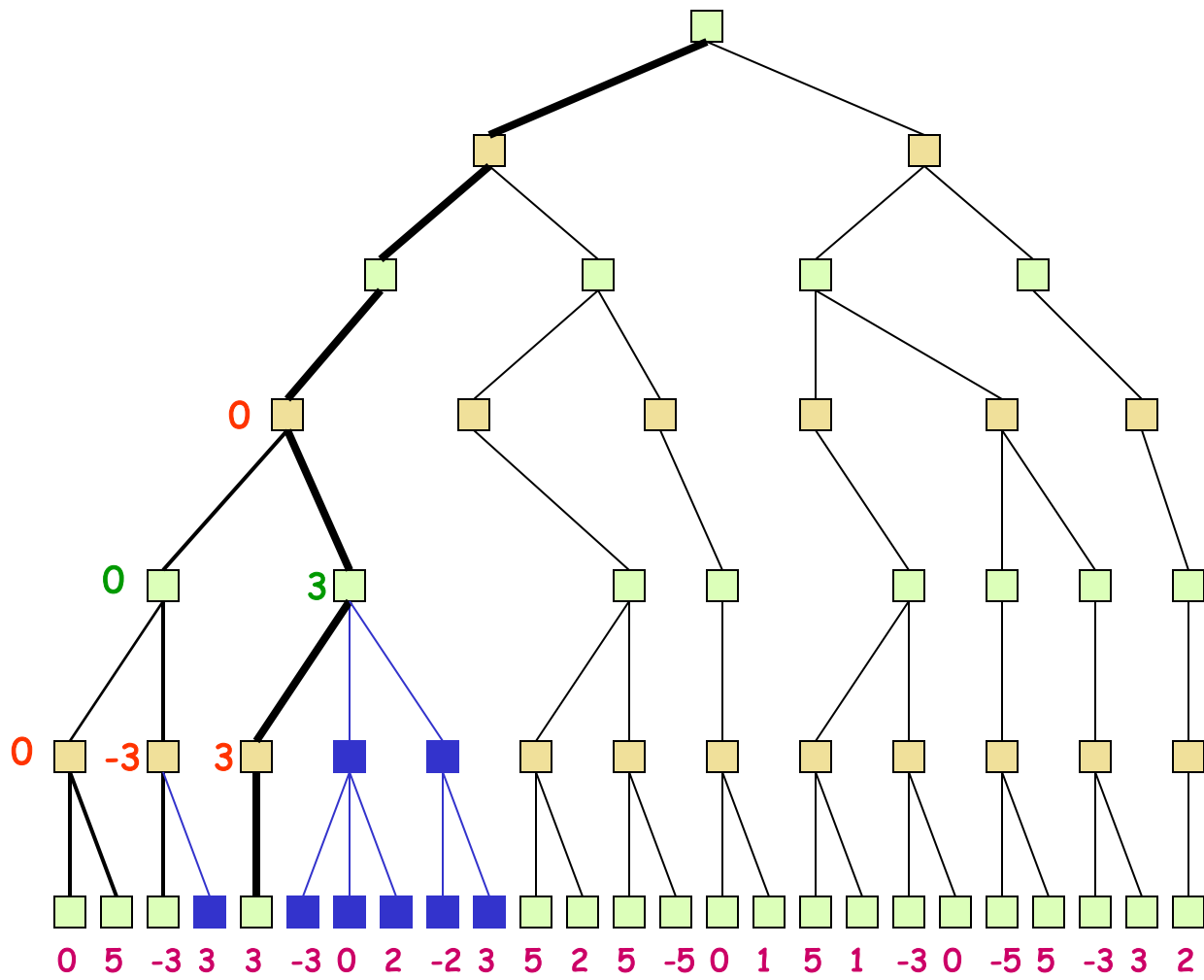


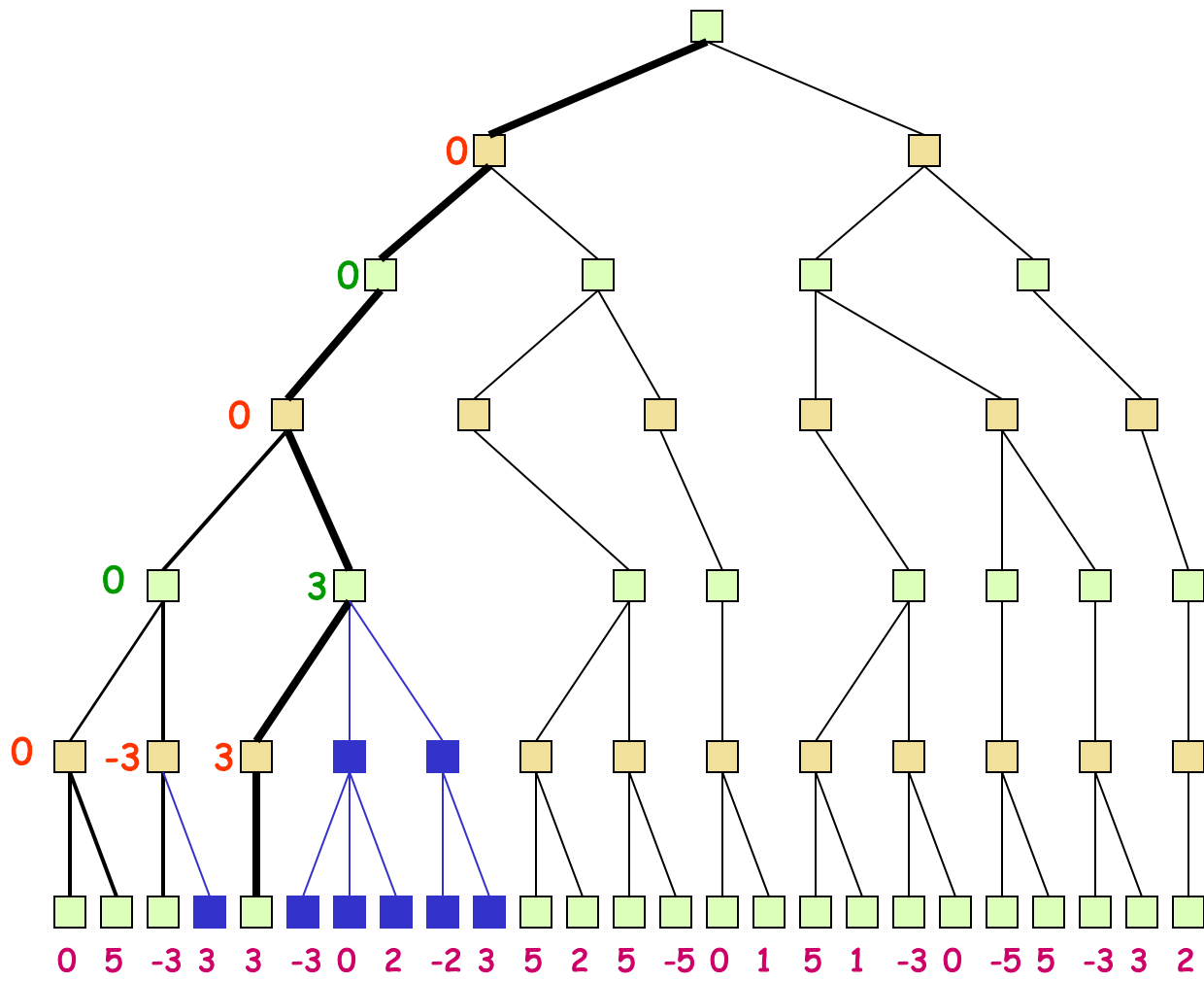


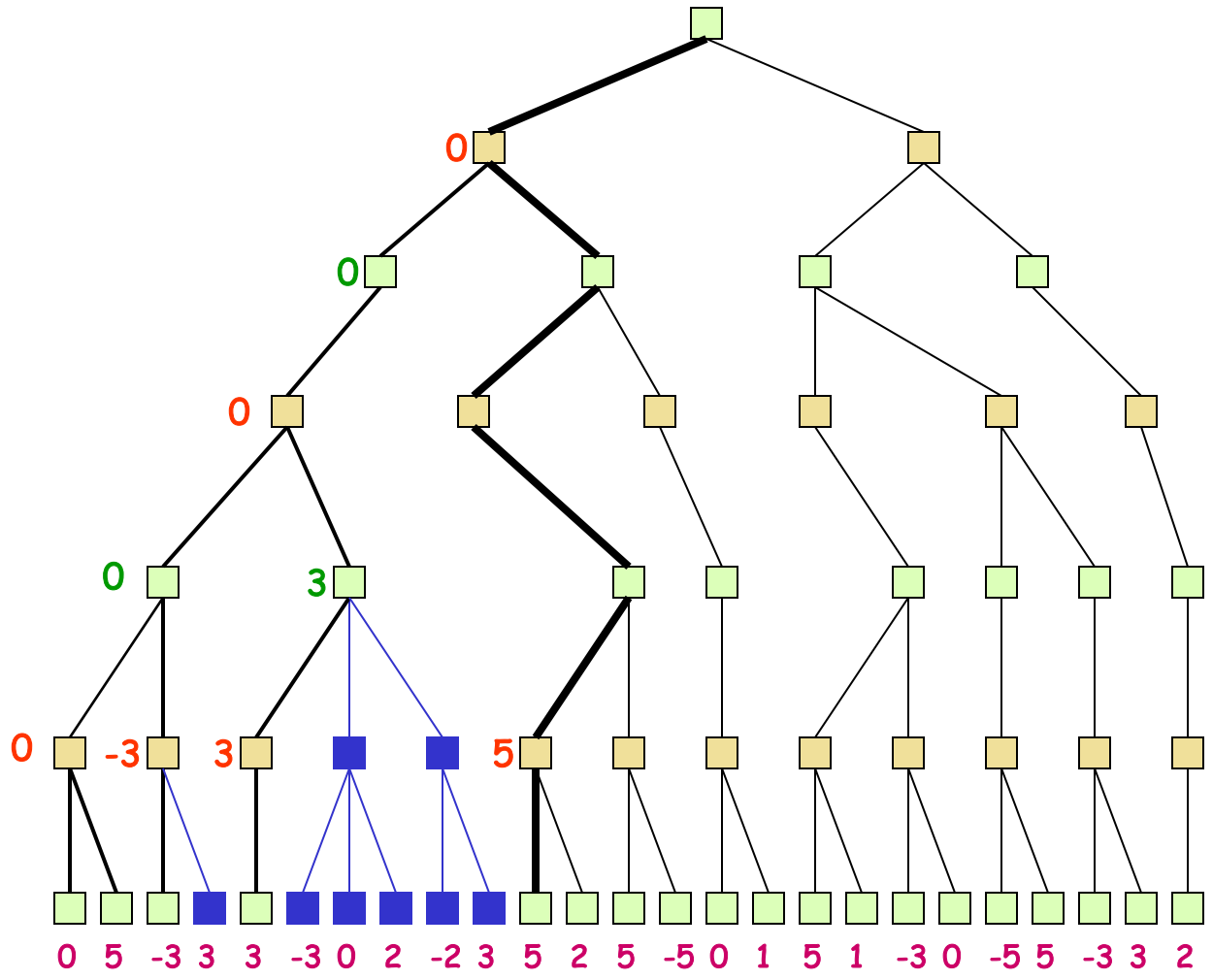


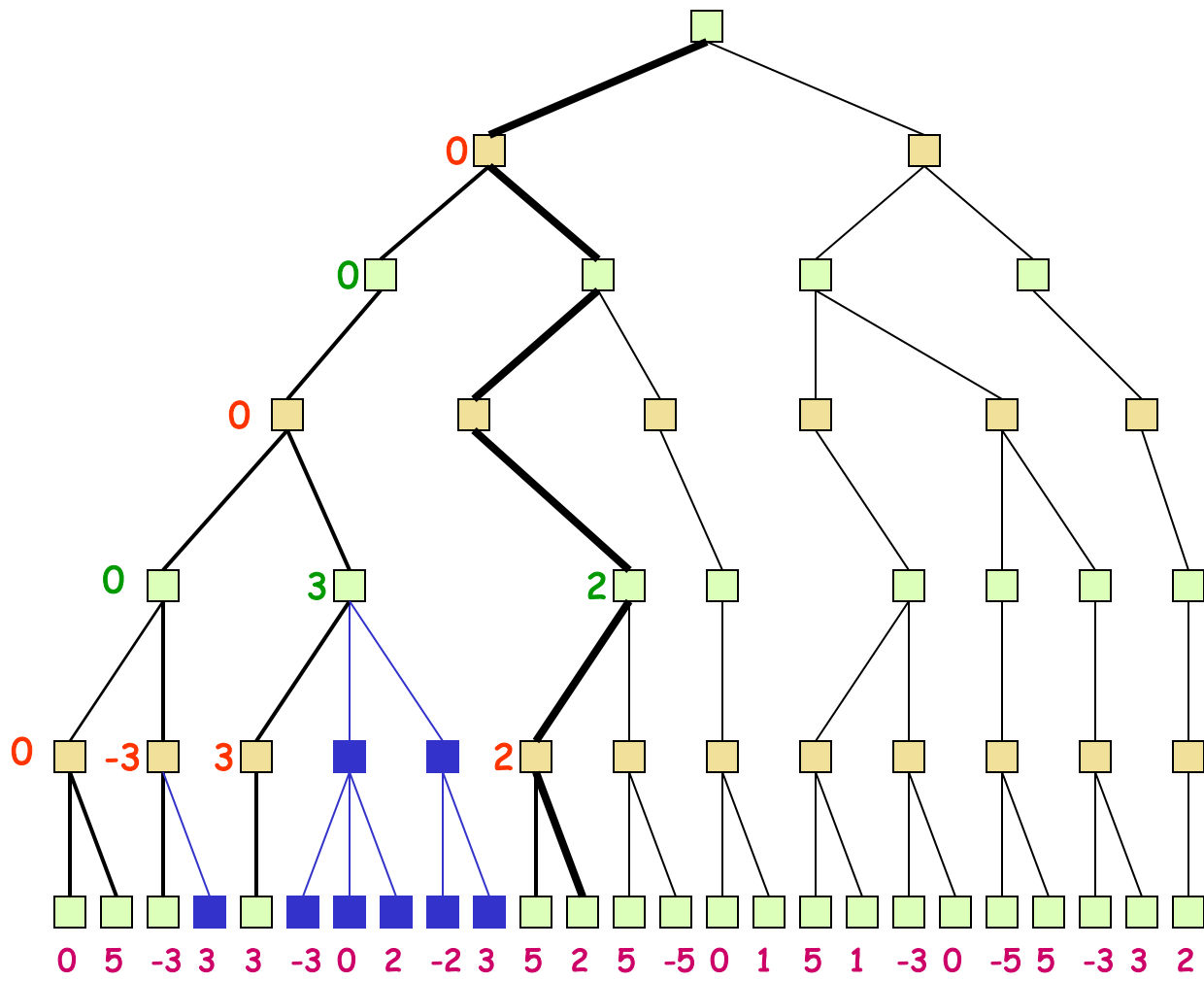


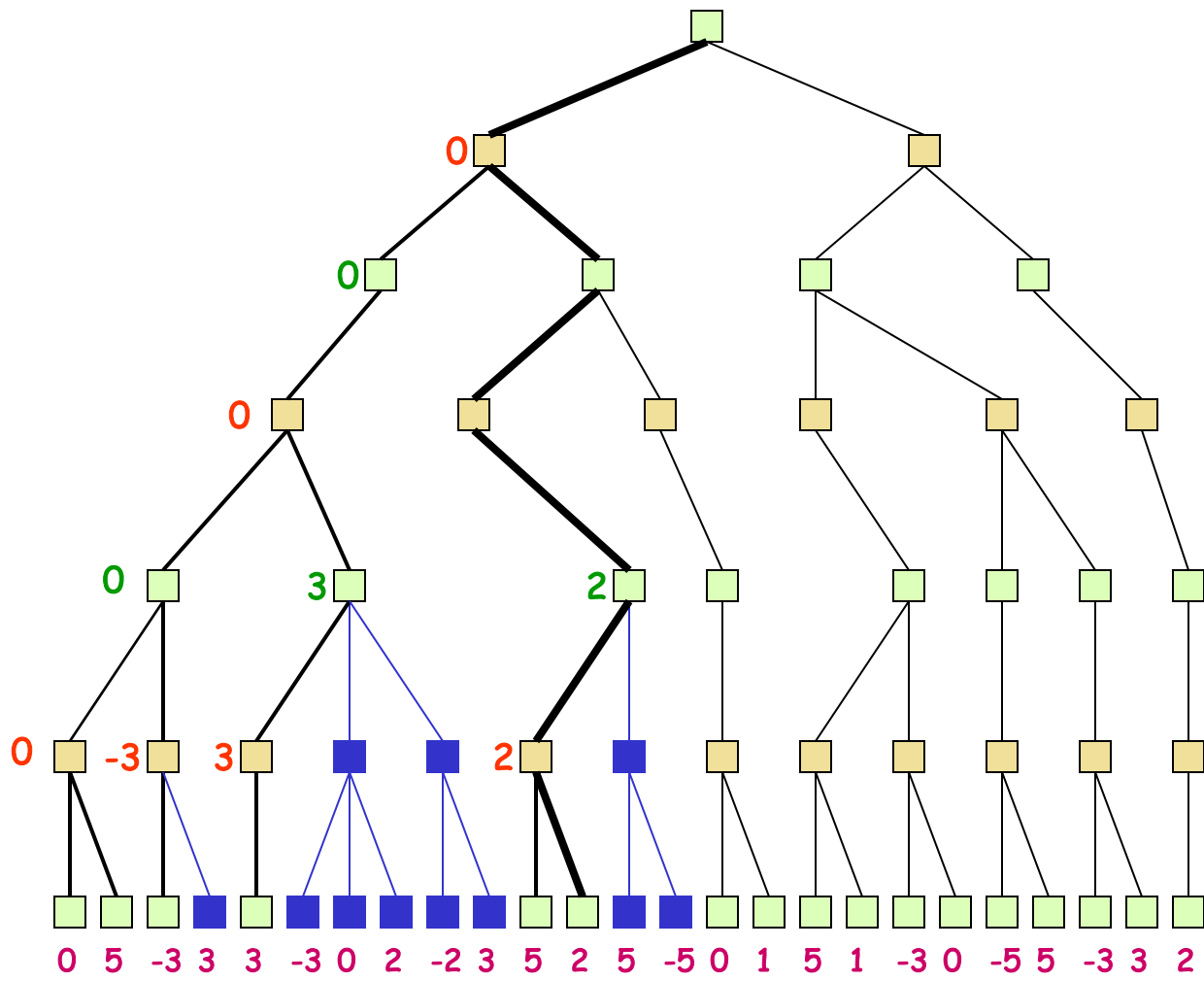


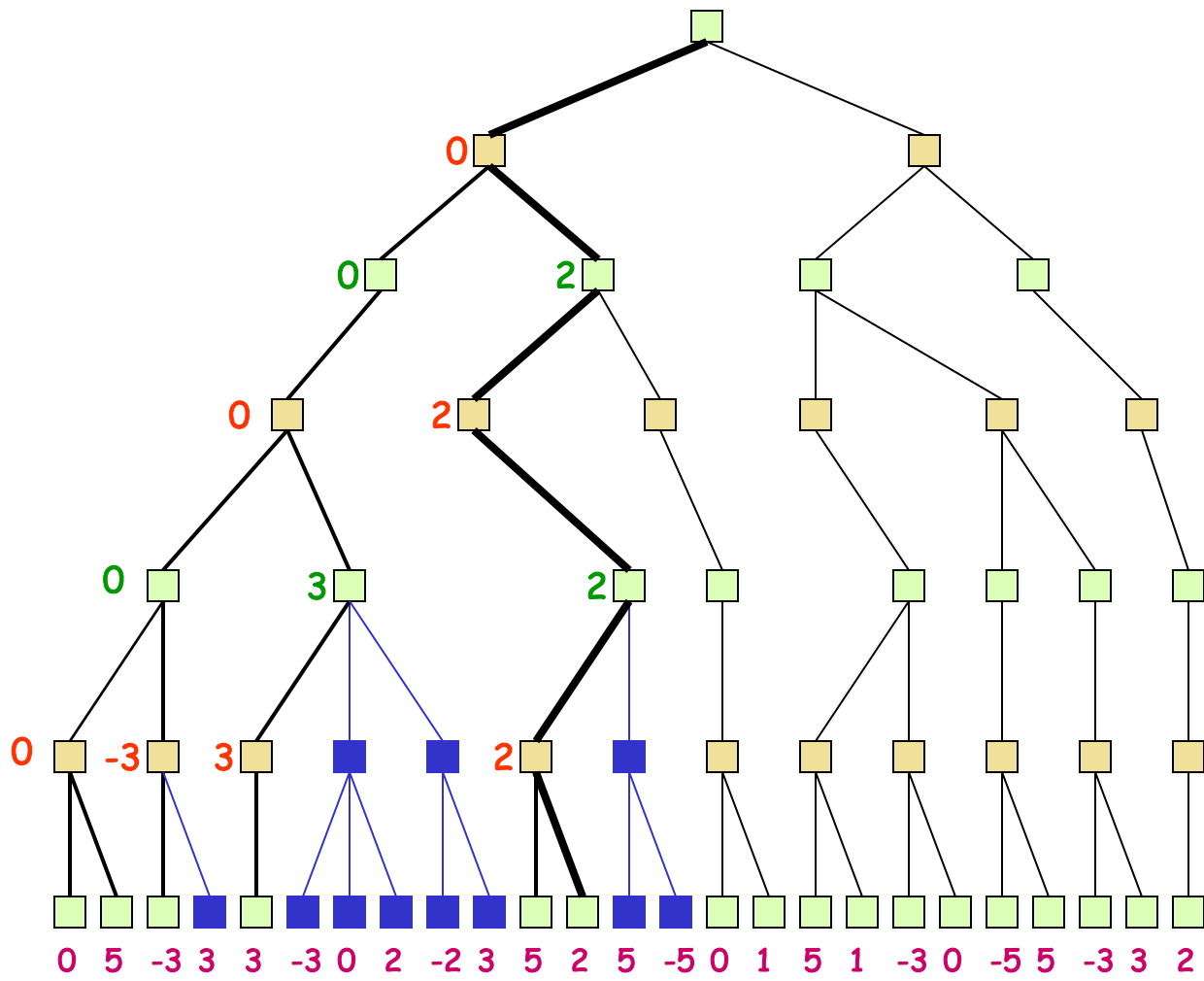




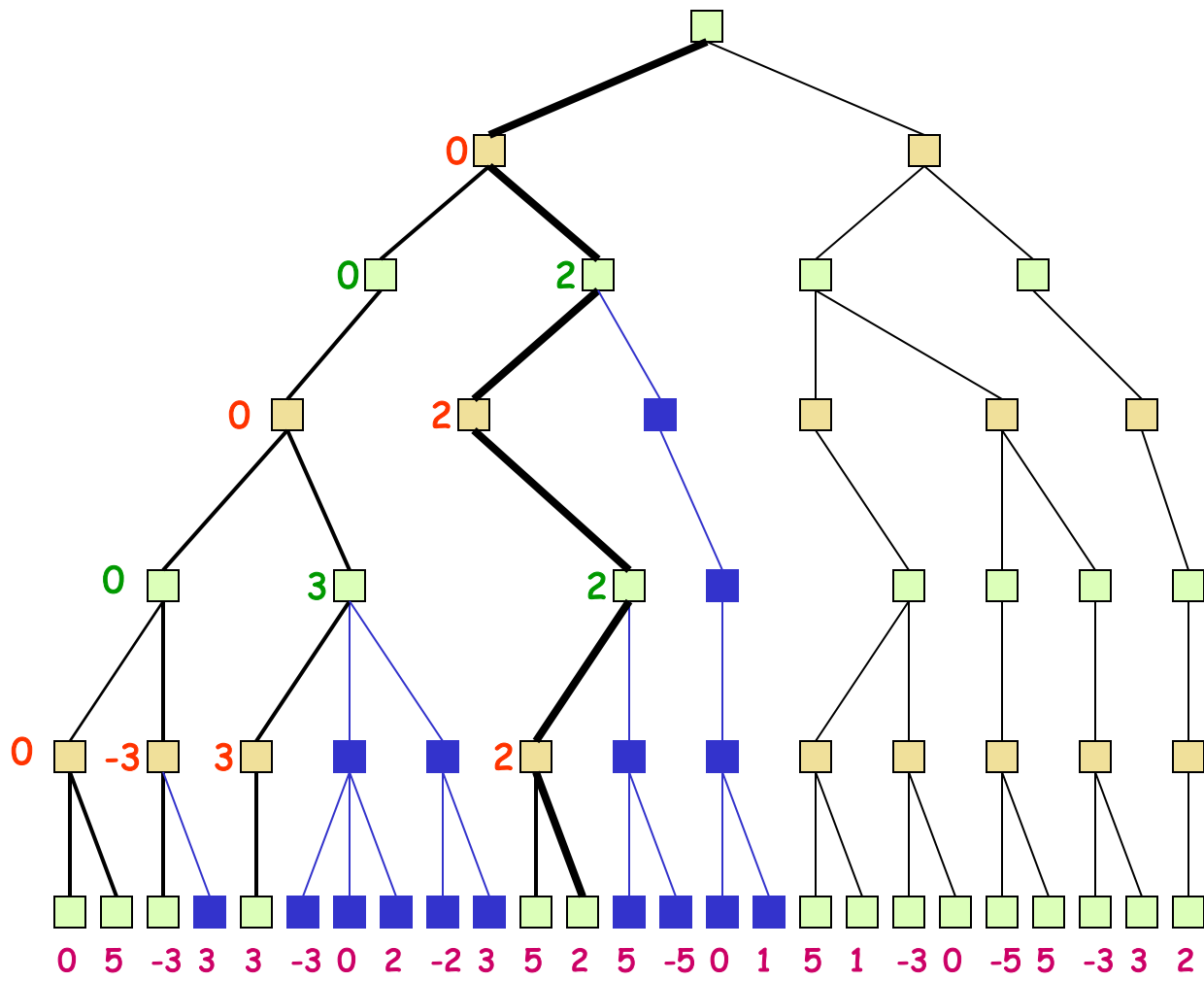


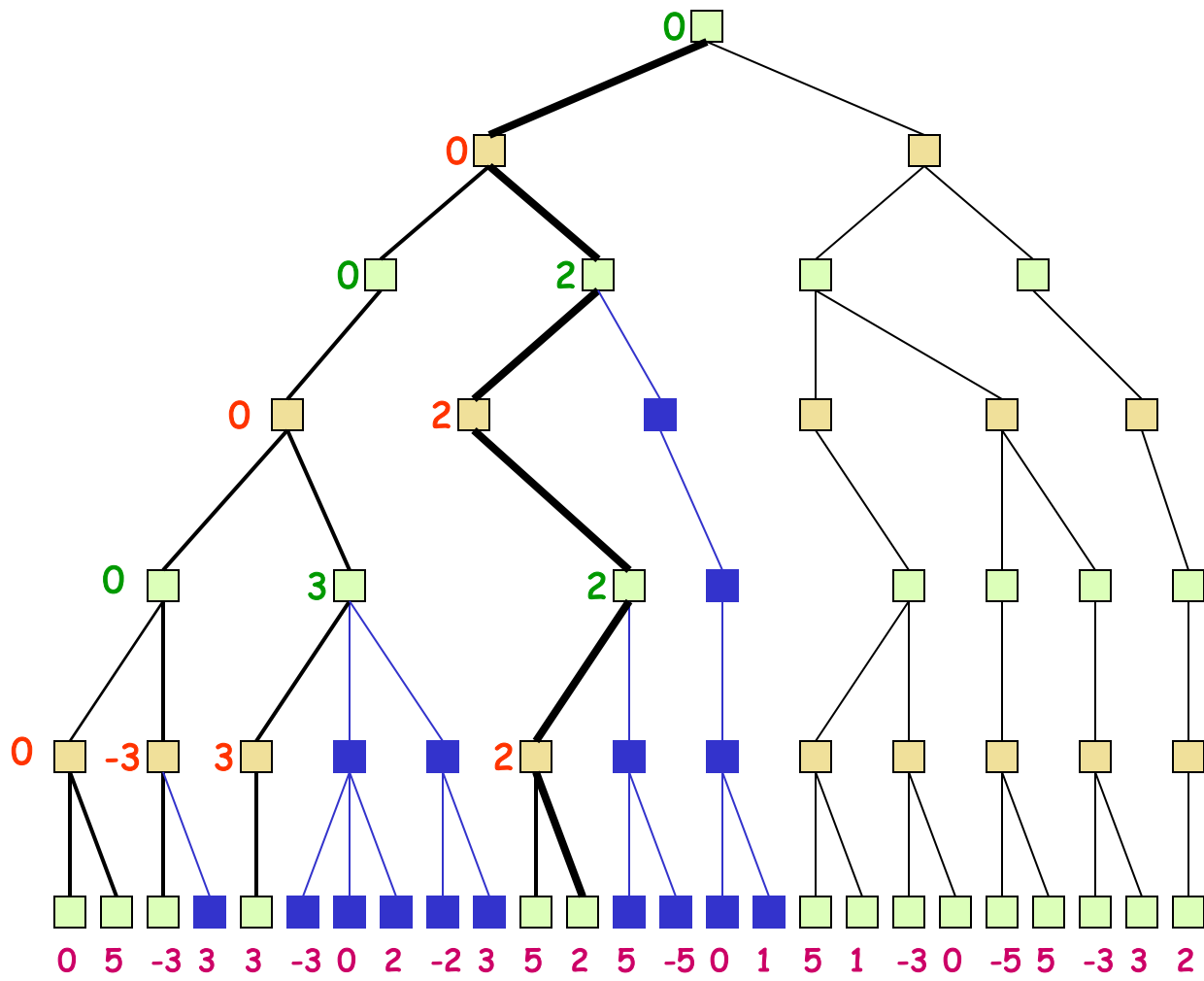


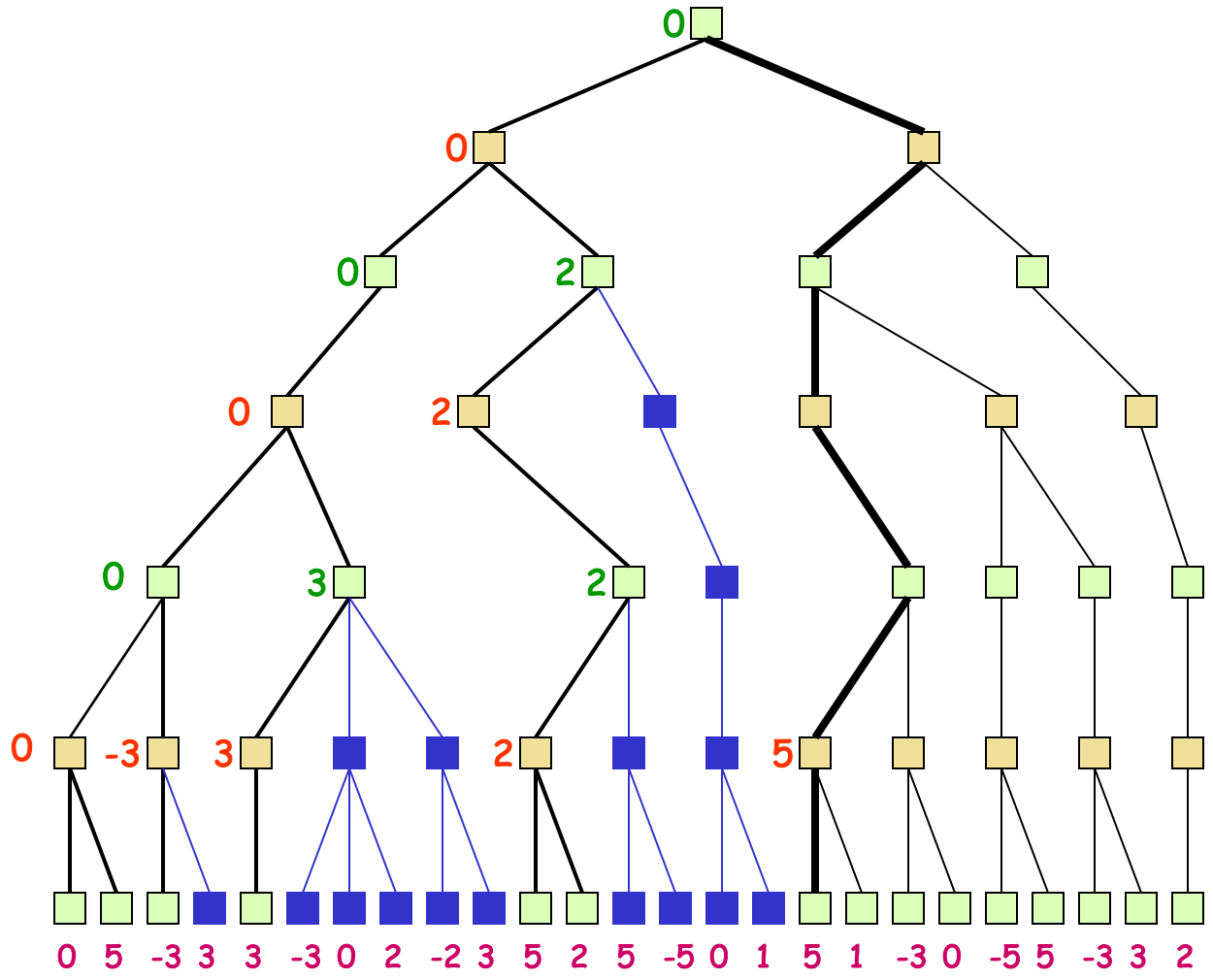


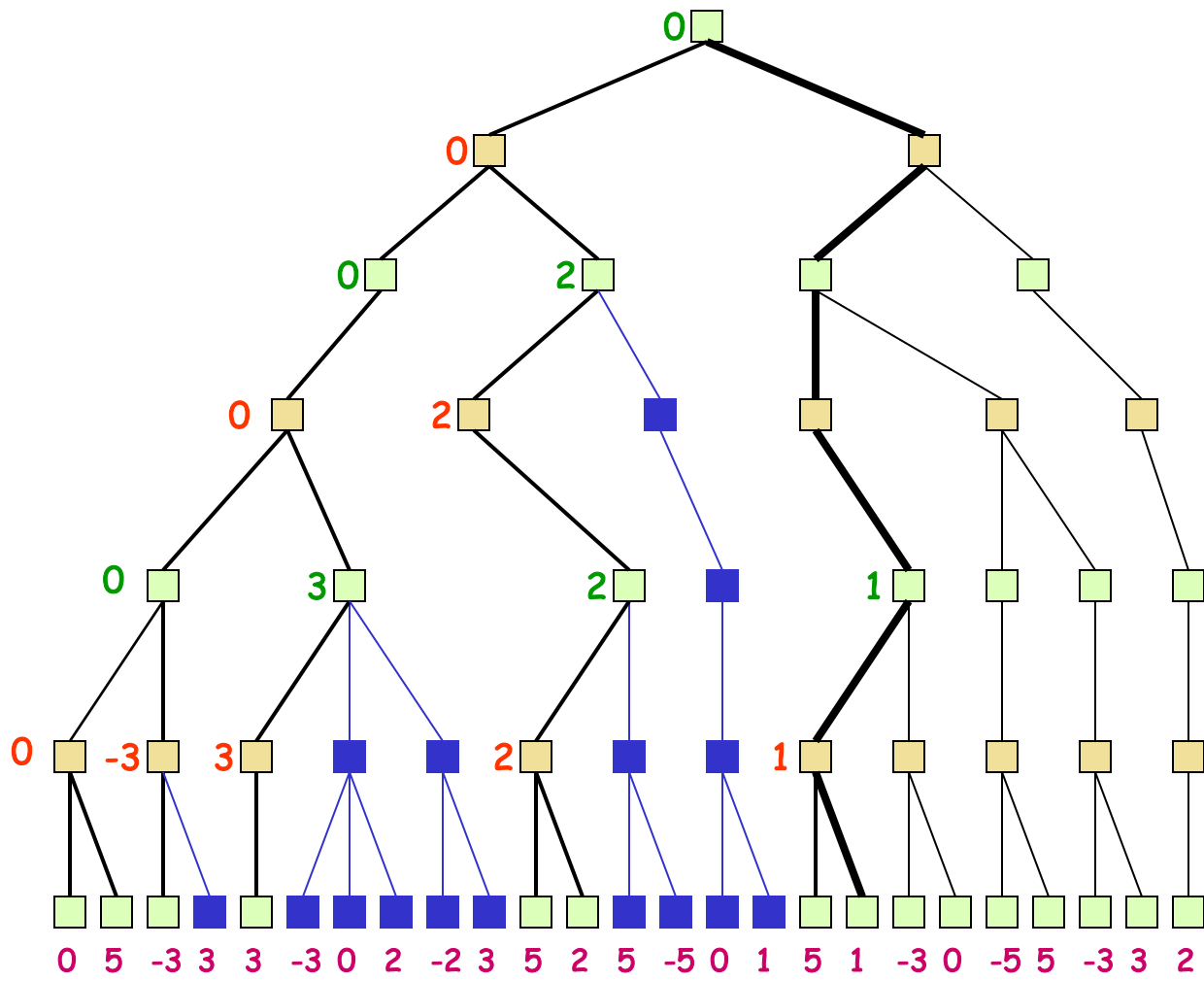


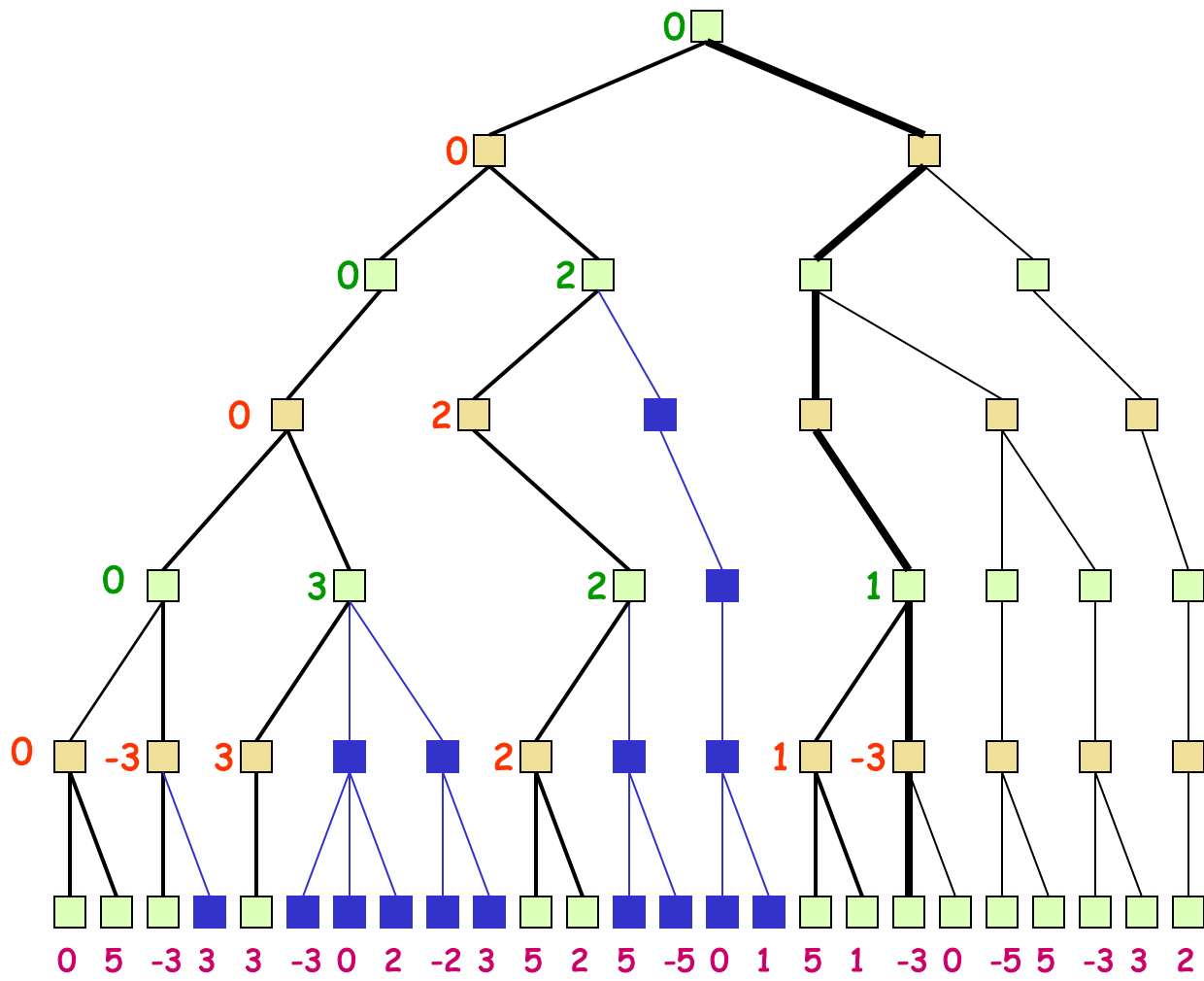


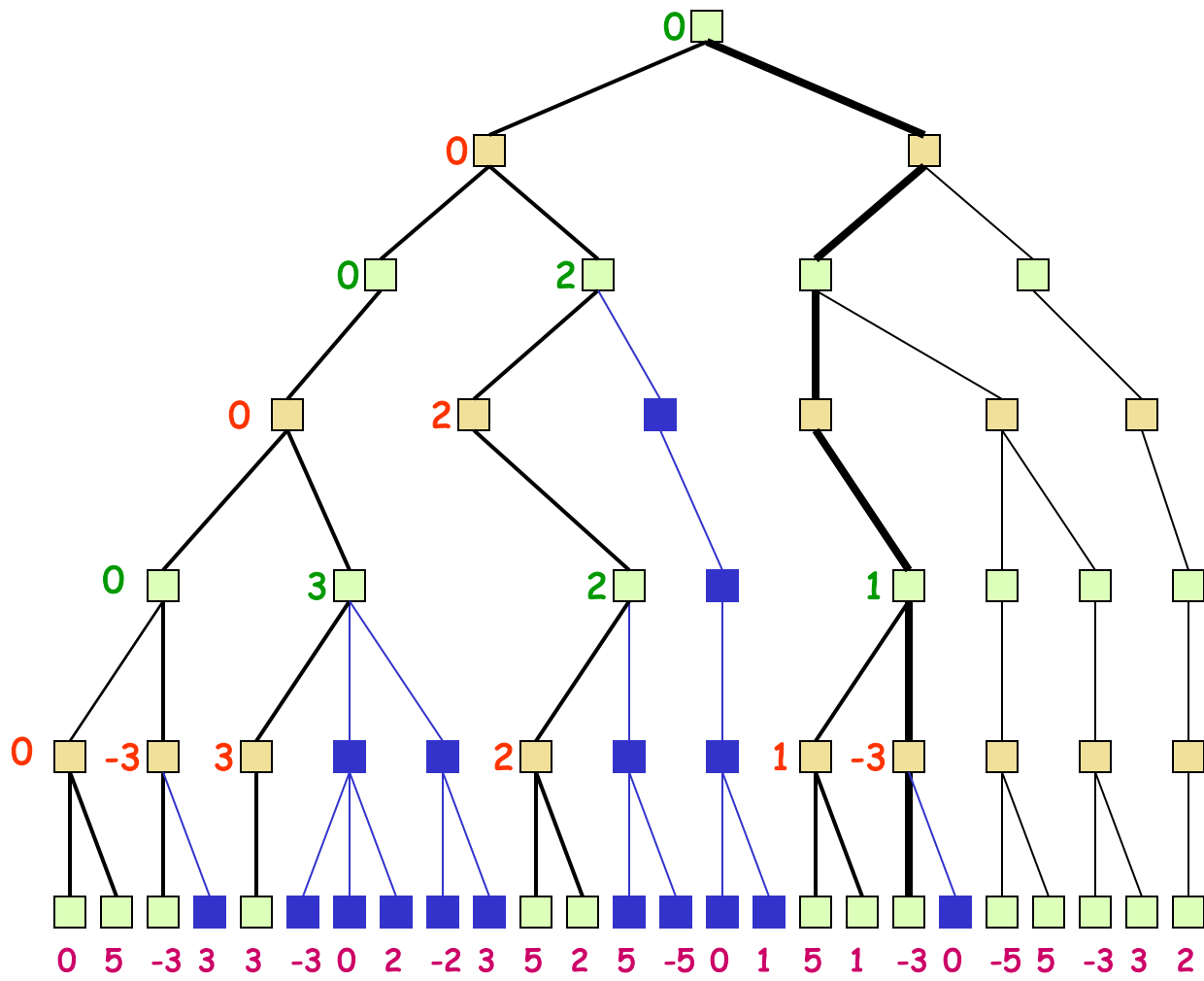


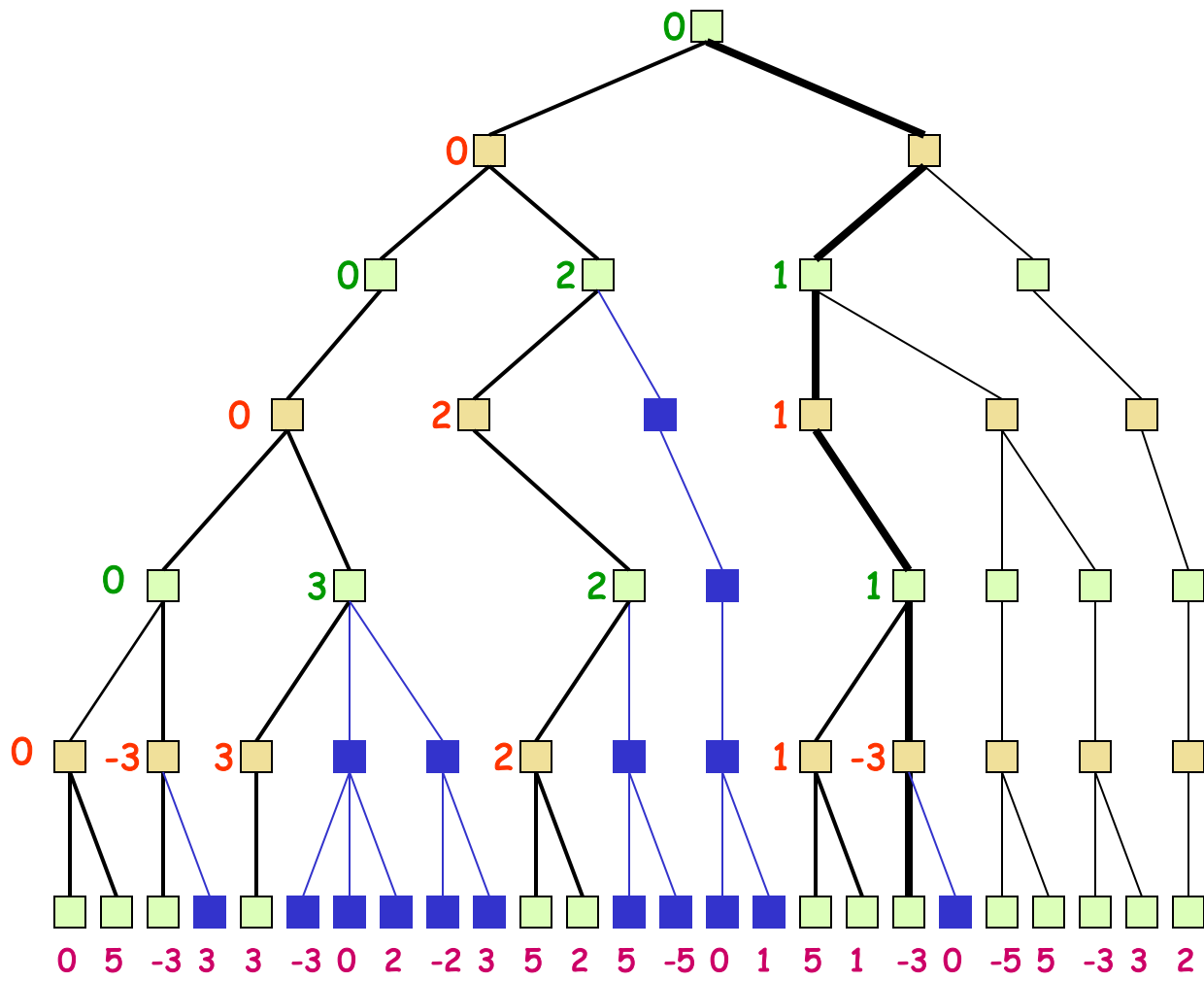


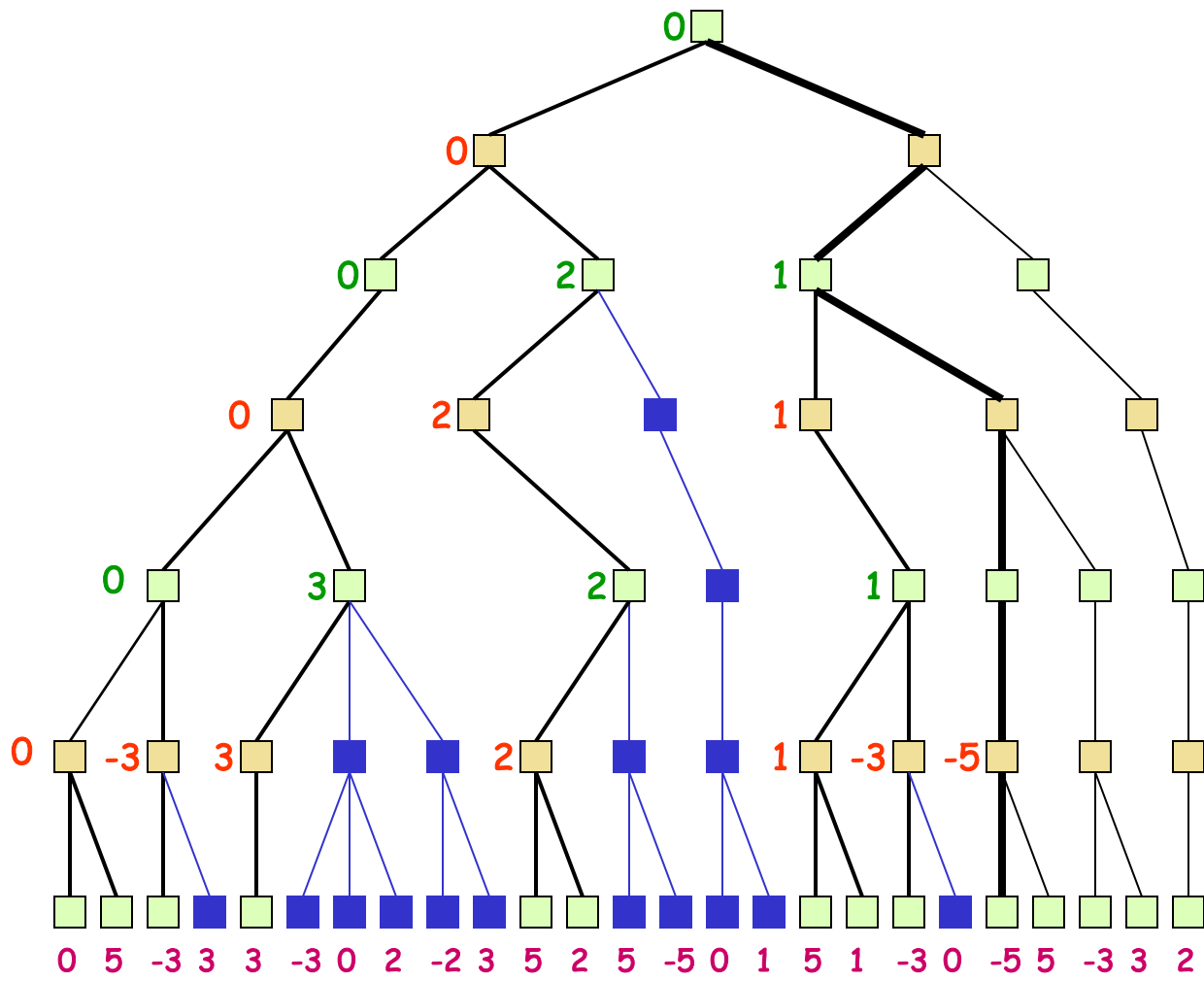




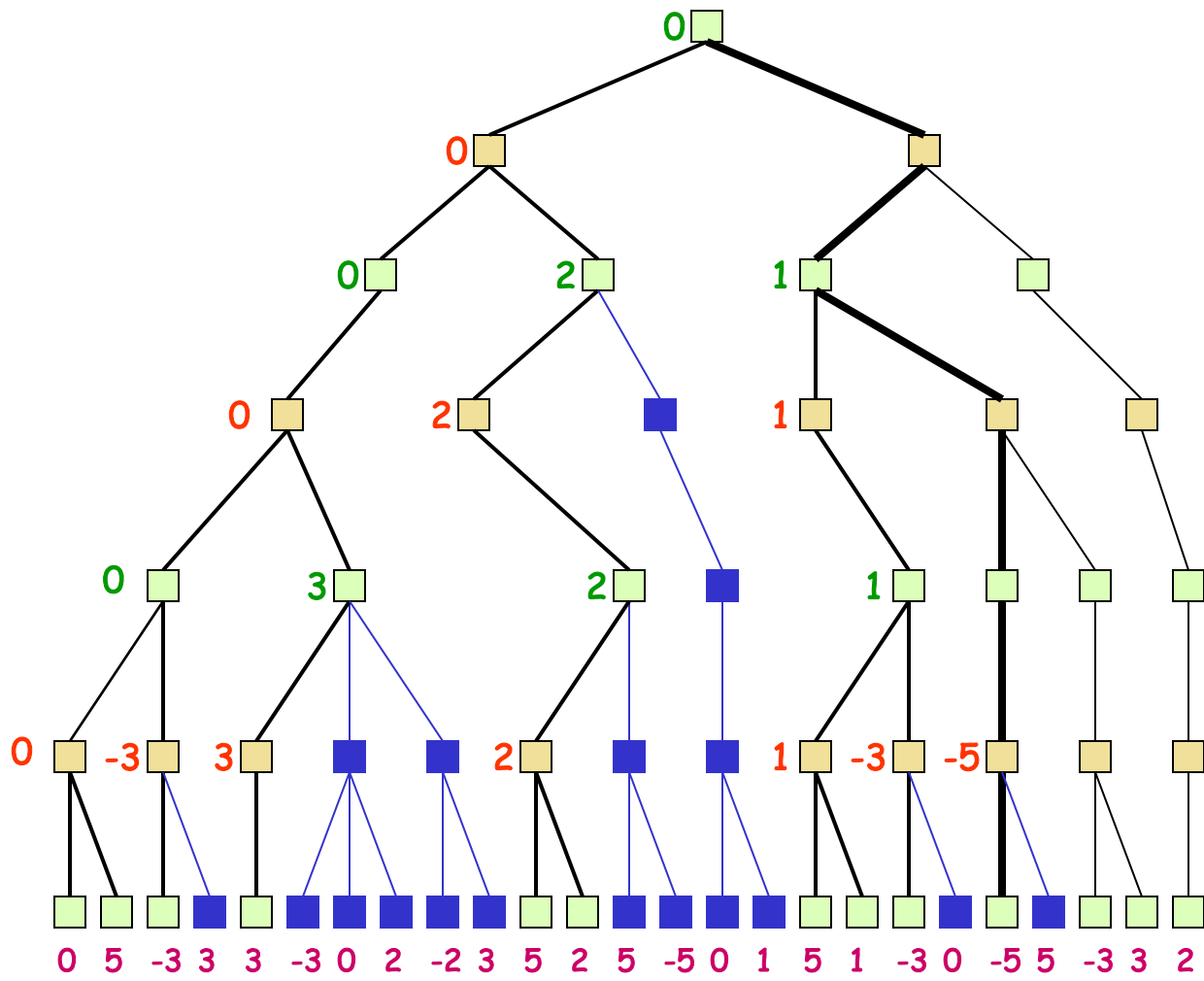


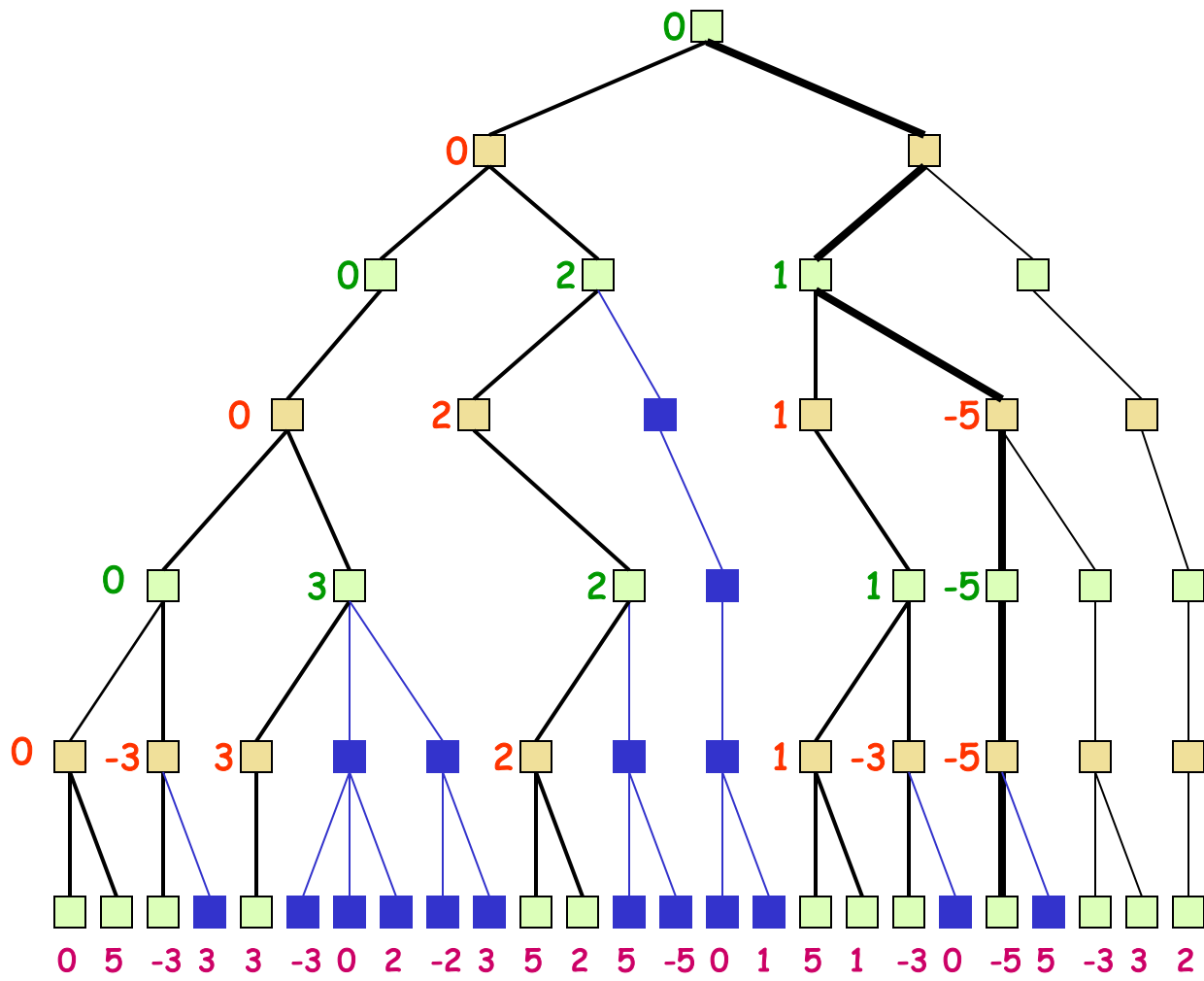


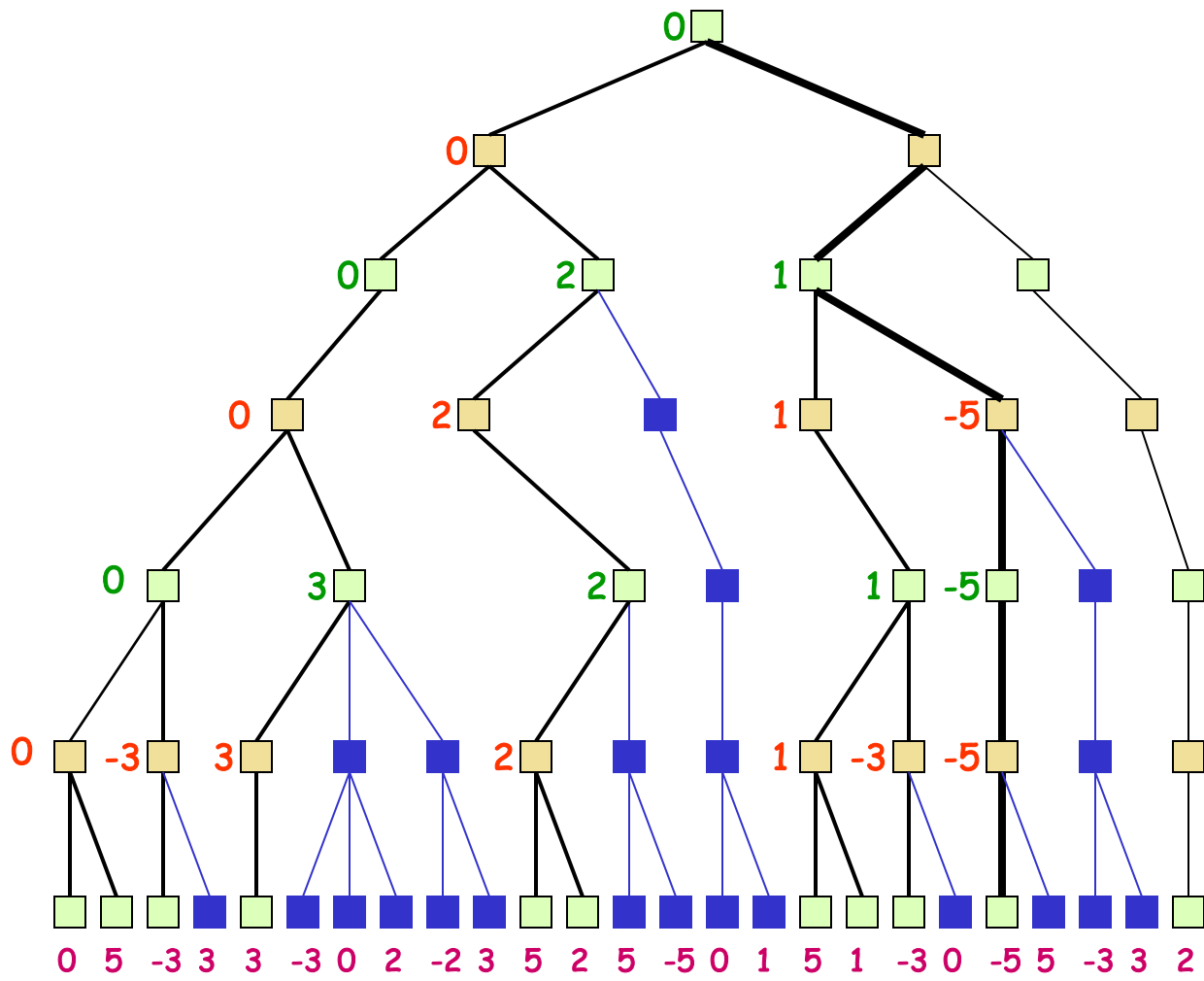


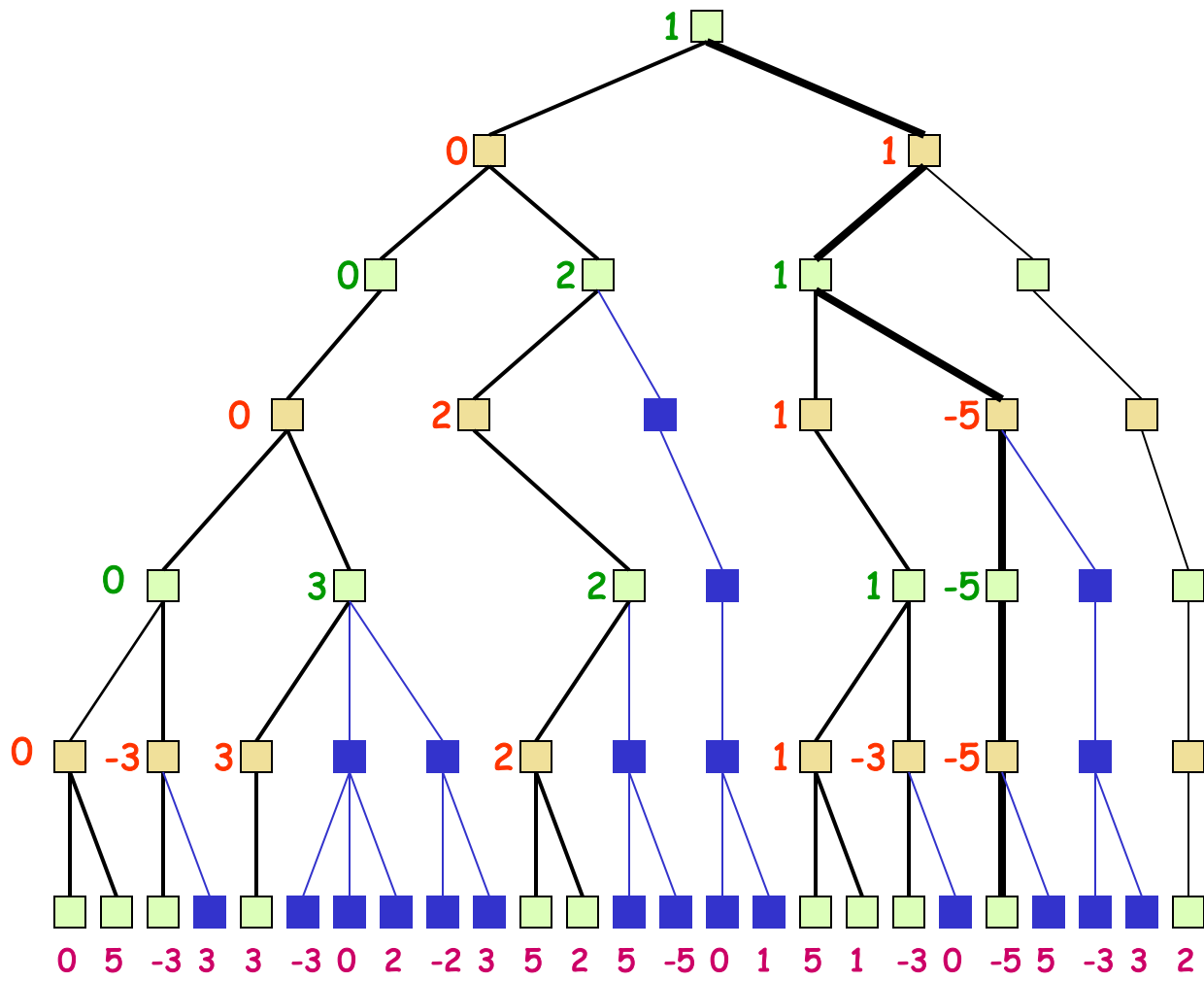


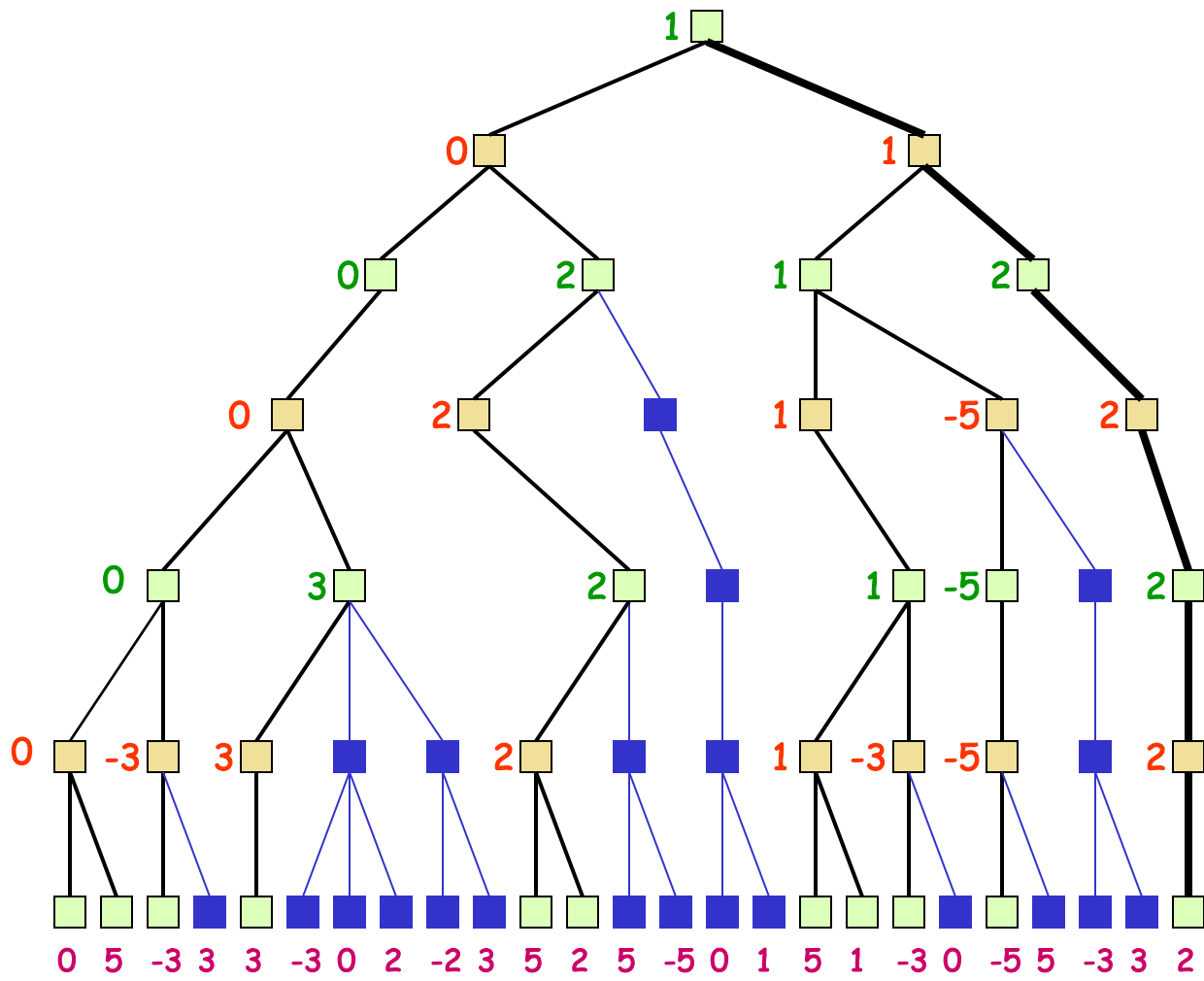




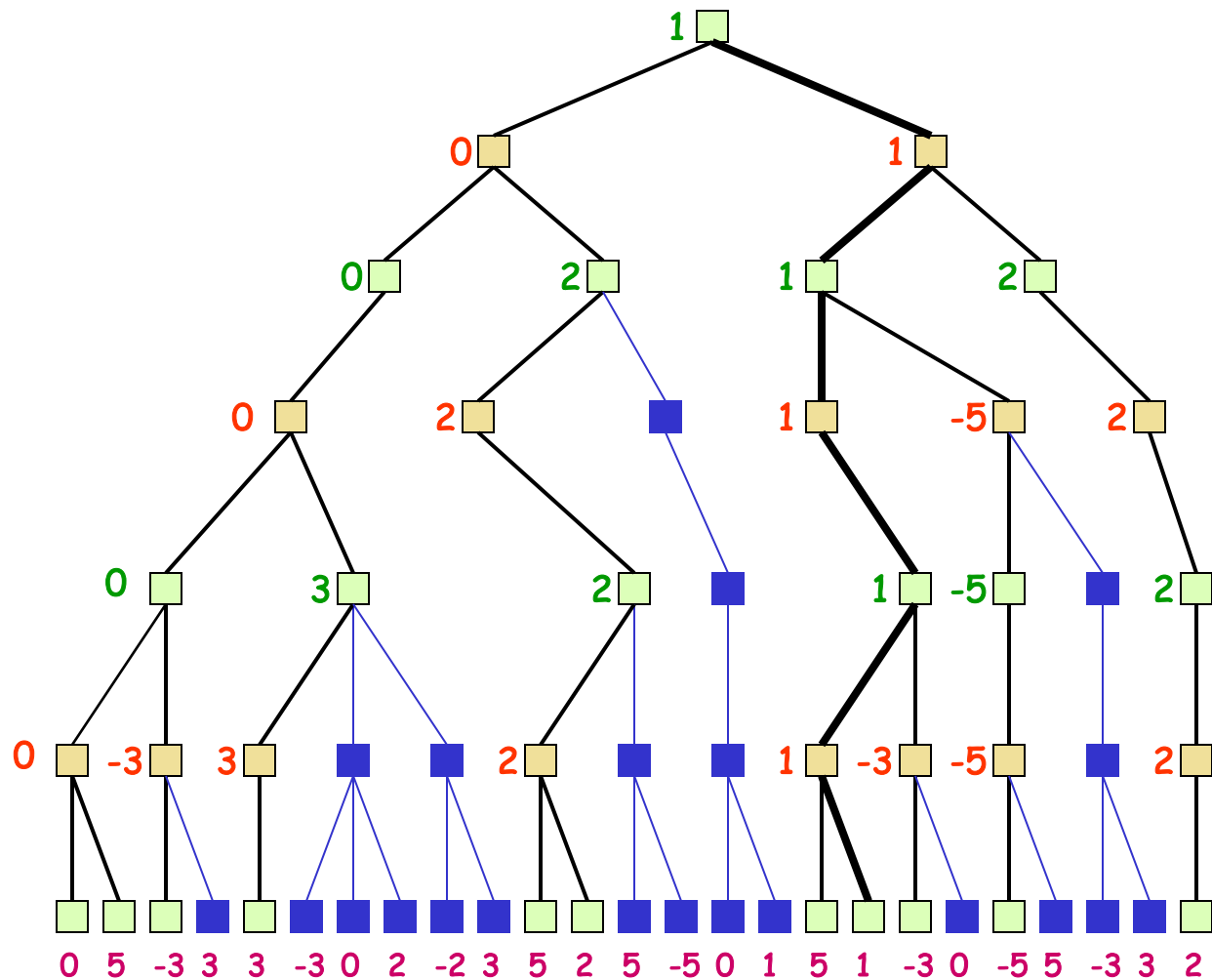








With alpha-beta we avoided computing a static evaluation metric for 14 of the 25 leaf nodes



```

function MAX-VALUE (state,  $\alpha$ ,  $\beta$ )
;;  $\alpha$  = best MAX so far;  $\beta$  = best MIN
if TERMINAL-TEST (state) then return
  UTILITY(state)
v :=  $-\infty$ 
for each s in SUCCESSORS (state) do
  v := MAX (v, MIN-VALUE (s,  $\alpha$ ,  $\beta$ ))
  if v  $\geq$   $\beta$  then return v
   $\alpha$  := MAX ( $\alpha$ , v)
end
return v

```

# Alpha-beta algorithm

```

function MIN-VALUE (state,  $\alpha$ ,  $\beta$ )
if TERMINAL-TEST (state) then return
  UTILITY(state)
v :=  $\infty$ 
for each s in SUCCESSORS (state) do
  v := MIN (v, MAX-VALUE (s,  $\alpha$ ,  $\beta$ ))
  if v  $\leq$   $\alpha$  then return v
   $\beta$  := MIN ( $\beta$ , v)
end
return v

```

# Effectiveness of alpha-beta

- Alpha-beta guaranteed to compute same value for root node as minimax, but with less computation
- **Worst case:** no pruning, examine  $b^d$  leaf nodes, where nodes have  $b$  children &  $d$ -ply search is done
- **Best case:** examine only  $(2b)^{d/2}$  leaf nodes
  - You can search twice as deep as minimax!
  - **Occurs if each player's best move is 1st alternative**
- In Deep Blue, alpha-beta pruning reduced effective branching factor from  $\sim 35$  to  $\sim 6$



# Many other improvements

- **Adaptive horizon + iterative deepening**
- **Extended search:** retain  $k > 1$  best paths (not just 1) and extend tree at greater depth below their leaf nodes to help dealing with “horizon effect”
- **Singular extension:** If move is obviously better than others in node at horizon  $h$ , expand it
- Use [transposition tables](#) to deal with repeated states

# Simple Games Summary

- Simple 2-player, zero-sum, deterministic, perfect information games are popular and let us explore adversarial search
- Use a **static evaluator** and **look ahead** to choose move
- Computing static evaluator uses most computing
- **Minimax** makes best choice for next move
- **Alpha-beta** gives same answer, but often with much less work